## テンソルネットワーク法による情報圧縮

東京大学大学院理学系研究科 大久保 毅

大久保の自己紹介

- · 1999 -
  - · 九州大学理学部物理学科
- · 2002 2008
  - · 物性理論研究室(指導教員:小田垣先生)
  - ランダムパッキング、社会物理学、境界摂動問題
- · 2008 2012
  - · 大阪大学 宇宙地球科学専攻 特任研究員 (Supervisor:川村先生)
  - ・ フラストレート磁性体(古典スピン模型の秩序、ダイナミクス等)
- · 2012 2017
  - · 東京大学 物性研究所 特任研究員 (Supervisor:川島先生)
  - ・ 脱とじ込め転移、量子スピン模型、テンソルネットワーク等
- · 2017 -
  - · 東京大学大学院 物理学専攻 特任講師
- · 2019.10 -
  - ・ JST さきがけ研究者(量子情報処理領域)
  - テンソルネットワークを活用して、量子コンピュータで量子多体問題を解く

研究の興味:多体系の協力現象一般。相転移、新奇秩序、非平衡ダイナミクス… 研究手法:主に、計算機シミュレーション

## この講義の進め方と成績評価

- ・ 講義はスライドを用いて行います
  - ・ 講義スライドのpdfは大久保のwebページからダウンロードできます
    - ・ google検索:「大久保毅 東京大学」で検索
    - <u>https://exa.phys.s.u-tokyo.ac.jp/ja/members/okubo/lecture</u>
  - ・スライドは日本語の部分と英語の部分が混在しています
- 成績評価
  - ・ 出席点とレポート(最後の回に課題を提示)で評価します

1日目	1.現代物理学における巨大なデータと情報圧縮 2.格子スピン模型の統計力学
,	3.線形代数の復習
	4.特異値分解と低ランク近似
2日目	5.テンソルネットワーク繰り込みによる情報圧縮
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3日目	7.行列積表現の固有値問題への応用
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Optional	9.フラストレート磁性体への応用

# 現代物理学における巨大なデータと情報圧縮

Huge data in physics (イントロなのでふんわり分かればOK!)

# Huge data in physics

Many-body problems in physics

- Celestial movement (天体運動)
- Gases, Liquids
- Molecules, Polymers (eg. Proteins), ...
- Electrons in molecules and solids
- Elemental particles (Quantum Chromo Dynamics)

(量子色力学)

In these problems, "systems" contain huge degrees of freedoms:

6N-dimensional phase space for classical mechanics

O(e<sup>N</sup>)-dimensional Hilbert space for quantum system

## Complex particle system

Eg. Poliovirus capsid in electrolyte solution (ポリオウイルス カプシド) (電解質溶液)

Y. Ando et al, J. Chem. Phys. 141, 165101(2014).

Long-range coulomb interaction

**Poliovirus capsid** 



6.5 million atoms



## Localized electrons as quantum spin systems

Eg. Antiferromagnetic Mott insulator Na<sub>2</sub>IrO<sub>3</sub> (反強磁性) (モット絶縁体)

Y. Singh and P. Gegenwart, Physical Review B 82, 064412 (2010)



 $S_i$ : spin operator



# Why we need information compression?

1. We can not understand huge information directly.



We try to characterize "systems" thorough a few parameters.

Examples:

Thermodynamics:

Systems are characterized by thermodynamic quantities,

Internal energy, Entropy, Pressure, Volume, Particle number,...

Critical phenomena:

Critical systems are characterized by a few critical exponents. (臨界指数)

# Why we need information compression?

2. We can not treat entire data in the present computers.

Available memories in the present computers:

Double precision real number

= 8 Bite Personal computers:  $\sim 10 \text{ GB}$   $\sim 10^9$ 

Super computers: ~100 GB / node~1010K@RIKEN,~1 PB~1014Oakforest-PACS@UTokyo~1 PB~1014and Tsukuba Univ,(whole system)

Notice: In quantum system, the size of Hilbert space is O(e<sup>N</sup>)

# Why we need information compression?

2. We can not treat entire data in the present computers.



Try to reduce the "effective" dimension of (Hilbert) space.

By taking proper basis set, we can represent a quantum state efficiently.

- Krylov subspace
- Matrix product state
- Tensor network states
- •

## Krylov subspace

linear subspace generated by a square matrix (M) and a vector (v) as

$$\mathcal{K}_n(M,\vec{v}) = \operatorname{span}\left\{\vec{v}, M\vec{v}, M^2\vec{v}, \dots, M^{n-1}\vec{v}\right\}$$

For quantum many body problems:

$$M = \mathcal{H}$$
 :Hamiltonian

$$\vec{v} = |\phi
angle$$
 :wavevector

Solve the eigenvalue problem within a restricted space (Krylov subspace)

### Lanczos method, Arnoldi method

\* In these method, we do not necessarily need explicit matrix. It is enough to know the result of matrix vector multiplication.

## Compression of an image

image = <mark>matrix</mark>

Original



 $\chi = 768$ 

# of "singular values"





SVD

 $\chi = 10$ 

 $\chi = 100$ 

## Compression of a color image

image = <mark>tensor</mark>

Original



 $\chi = 768$ 

About 10% compressed





HOSVD





## Singular value decomposition (特異值分解)

Singular value decomposition (SVD):  $U, V^{\dagger}$ : (half) unitary For a  $K \times L$  matrix M,  $\Lambda$ : Diagonal  $M = U\Lambda V^{\dagger}$  $\Lambda = \begin{pmatrix} \lambda_1 & 0 & \cdots & 0 \\ 0 & \lambda_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & \lambda_N \end{pmatrix}$  $M_{i,j} = \sum U_{i,m} \lambda_m V_{m,i}^{\dagger}$ mSingular values:  $\lambda_m \geq 0$ Singular vectors:  $\sum_{i}^{i} U_{i,m} U_{m,j}^{\dagger} = \delta_{i}, j$ By taking only several larger singular values, we can approximate M as a lower rank matrix.



## Example of MPS: AKLT state

S=1 Affleck-Kennedy-Lieb-Tasaki (AKLT) Hamiltonian:

$$\mathcal{H} = J \sum_{\langle i,j \rangle} \vec{S}_i \cdot \vec{S}_j + \frac{J}{3} \sum_{\langle i,j \rangle} \left( \vec{S}_i \cdot \vec{S}_j \right)^2$$
$$(J > 0)$$

The ground state of AKLT model:

 $\chi = 2$  iMPS: (U. Schollwock, Annals. of Physics 326, 96 (2011))

$$T[S_z = 1] = \sqrt{\frac{4}{3}} \begin{pmatrix} 0 & 1\\ 0 & 0 \end{pmatrix}$$
$$T[S_z = 0] = \sqrt{\frac{2}{3}} \begin{pmatrix} -1 & 0\\ 0 & 1 \end{pmatrix} \quad , \lambda = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 0\\ 0 & 1 \end{pmatrix}$$
$$T[S_z = -1] = \sqrt{\frac{4}{3}} \begin{pmatrix} 0 & 0\\ -1 & 0 \end{pmatrix}$$

Spin singlet

T

T

 $\lambda$ 

S=1 spin:

 $\lambda$ 

T

T

S=1/2 spin

 $\lambda$ 



## Application of MPS to data science

Tensor train (TT) and tensor ring (TR) decompositions for real data.





COIL-100 dataset = 32 x 32 x 3 x 7200 tensor

Compression by tensor ring decomposition.

(Q. Zhao, et al arXiv:1606.05535)



#### error Rank

	ε	$r_{max}$	$ar{r}$	Acc. (%) ( $\rho = 50\%$ )	Acc. (%) ( $\rho = 10\%$ )
	0.20	70	70	97.46	80.03
CDAIC	0.30	17	17	97.56	83.38
CF-AL5	0.39	5	5	90.40	77.70
	0.47	2	2	45.05	39.10
	0.19	67	47.3	99.05	89.11
	0.28	23	16.3	98.99	88.45
TT-SVD	0.37	8	6.3	96.29	86.02
	0.46	3	2.7	47.78	44.00
	0.19	23	12.0	99.14	89.29
	0.28	10	6.0	99.19	89.89
TR-SVD	0.36	5	3.5	98.51	88.10
	0.43	3	2.3	83.43	73.20

# Examples of tensor decompositions



# Real space renormalization (実空間繰り込み)

Corse graining of a tensor network representing a scaler.



# Ising model in infinite size $\mathcal{H} = -J \sum S_i S_j$

 $\langle i,j 
angle$ 

## Example of calculation



Z. Y. Xie et al, Phys. Rev. B 86, 045139 (2012)



$$T_c/J = \frac{2}{\ln(1+\sqrt{2})} \simeq 2.269$$

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## Examples of many body problems: 格子スピン模型と統計力学

相転移

- 温度や圧力等の"パラメタ"を変えると 自由エネルギーに異常(特異点)が 現れる場合がある。→<mark>相転移</mark>
  - 相転移で区別された状態=相
  - ・水だと、常圧で温度を下げると
     気体→液体→固体の3つの相が出現

物性物理の研究対象の一つ

- どんな相があるか?
  - ・ 長距離秩序、トポロジカル秩序、...
- それらを分ける相転移の性質は?



温度

## 磁性体(スピン模型)の相

## 典型的には2つの相が存在



## 1次転移と2次転移

- 相転移には大きく分けて不連続転移と連続転移が存在
  - 不連続転移:

相転移で自由エネルギーの1階微分が不連続に変化=1次転移

- ・ 例:液体↔固体の相転移....
- ・ 連続転移:

自由エネルギーの1階微分は連続に変化

- ・ 多くの場合、2階微分が不連続に変化する=2次転移
- ・ 例:気体↔液体の臨界点、イジング模型の相転移...



## 2次転移では臨界現象が生じる

相転移点(臨界点)では、特徴的な長さスケールが発散



種々の物理量が非自明なべき関数の振る舞い

相関長:  $\xi \sim |T - T_c|^{-\nu}$ 

比熱:  $C \sim |T - T_c|^{-\alpha}$  べき指数=臨界指数

感受率:  $\chi \sim |T - T_c|^{-\gamma}$ 

## ユニバーサリティ

臨界指数は相転移で"破れる"対称性と 空間次元で決まり系の詳細には依存しない 臨界現象は対称性に注目した シンプルな模型で調べられる

# 統計力学とカノニカル分布

□ 「 : 状態 (例えば、粒子の位置・運動量) カノニカル分布  $P(\Gamma): \Gamma$ が実現する確率  $P(\Gamma) \propto e^{-\beta \mathcal{H}(\Gamma)}$  $\beta = \frac{1}{k_B T}$ :逆温度 *H* : ハミルトニアン

分配関数=カノニカル分布の規格化因子

$$Z = \sum_{\Gamma} e^{-\beta \mathcal{H}(\Gamma)}$$

熱力学自由エネルギーとの関係

$$F = -k_B T \ln Z$$



## カノニカル分布での物理量の期待値

物理量Oの期待値:
$$\langle O \rangle \equiv \frac{1}{Z} \sum_{\Gamma} O(\Gamma) e^{-\beta \mathcal{H}(\Gamma)}$$

物理量の期待値↔マクロな系で観測される物理量



現実:  $\sum_{\Gamma}$  はとてつもなく大きいので、手では計算できない (計算機を使っても厳密に計算するのは難しい)

計算科学の手法=分配関数・期待値を数値的に計算する

# 格子スピン模型:格子

2次元

## 格子スピン模型:

格子上にスピン自由度が定義され相互作用する模型 格子 1次元 鎖 ●●●●●●●●●●●●●●●●●●●●





3次元 立方格子, FCC格子, ...

# 格子スピン模型:スピン自由度

スピン自由度  $S_i$ 

\*量子スピン  $S_i$ は角運動量演算子  $S_i = (S_i^x, S_i^y, S_i^z)$ スピン量子数Sで特徴付け  $S = \frac{1}{2}, 1, \frac{3}{2}, 2, \cdots$ 

Sが小さいほど量子効果が強く、<mark>新規量子相</mark>が実現する可能性

\*古典スピン

 $S \to \infty$ の極限に対応する

イジングスピン:  $S_i = \pm 1 = \uparrow, \downarrow$  上か下かしか向かない

ハイゼンベルグスピン:  $S_i = (S_i^x, S_i^y, S_i^z)$ 

3成分の単位ベクトル:  $(S_i^x)^2 + (S_i^y)^2 + (S_i^z)^2 = 1$ 



# 格子スピン模型:相互作用

典型的なハミルトニアン:スピン自由度の2体相互作用

$$\sum_{\langle i,j
angle}$$
:最近接格子点ペアの和



## スピン模型と相転移

例:正方格子強磁性イジング模型

$$\mathcal{H} = -J \sum_{\langle i,j \rangle} S_i S_j$$
自由エネルギー:

$$F = E - ST$$

低温:エネルギーが小さい方がFが小さい 高温:エントロピーが大きい方がFが小さい





統計力学の処方箋:

分配関数を計算したい  $Z = \sum_{\{S_i = \pm 1\}} e^{\beta J \sum_{\langle i,j \rangle} S_i S_j} \qquad \mathcal{H} = -J \sum_{\langle i,j \rangle} S_i S_j$ 

しかし、和
$$\sum_{\{S_i=\pm1\}}$$
は、スピンがN個の時、 $2^N$ 

で指数的に大きい!(N=100でも、10<sup>30</sup>の項がある!)


## (古典) 格子スピン模型の数値解法

- ・ 大きく分けて2種類のアプローチ
  - 乱数を使って物理量の期待値を統計誤差つきで求める
    - 乱択アルゴリズム、モンテカルロ法
    - ・統計誤差の範囲内で得られる期待値は厳密
  - ・分配関数を近似的に計算する
    - ・ 得られた分配関数には近似に基づく系統的な誤差
    - ・ 誤差は計算の規模を増大することで減らせる
    - ・ 転送行列法、テンソルネットワーク法

#### Quantum spin systems (テンソルネットワーク法の主要な対象)

## Quantum systems

Quantum system: governed by Schrödinger equation

$$i\hbar\frac{\partial}{\partial t}|\Psi\rangle = \mathcal{H}|\Psi\rangle$$

 $\mathcal{H}$  :Hamiltonian  $|\Psi
angle$  :Wave function (state vector) (波動関数 or 状態ベクトル)

Inner product:  $(|a\rangle,|b\rangle) = \langle b|a\rangle$ 

Nature: Elementary particles, e.g. electrons, obey quantum mechanics. 素粒子

Static problems: Time-independent Schrödinger equation

$$\mathcal{H}|\Psi
angle=\underline{E}|\Psi
angle$$
 = Eigenvalue problem Energy

## Quantum systems

1 bit

## Example of quantum system: Array of quantum bits

• A quantum bit is represented by two basis vectors.  $|0\rangle, |1\rangle$  or  $(|\uparrow\rangle, |\downarrow\rangle)$ 

2 bits 
The Hilbert space is spanned by four basis vectors.

 $|0
angle\otimes|0
angle,|0
angle\otimes|1
angle,|1
angle\otimes|0
angle,|1
angle\otimes|1
angle$ 

Simple notation: |00
angle, |01
angle, |10
angle, |11
angle

$$|\Psi\rangle = \sum_{\alpha,\beta=0,1} C_{\alpha,\beta} |\alpha\beta\rangle \qquad C_{\alpha,\beta} \text{ :complex number}$$

The Hamiltonian for 2 bits system can be represented in these bases.

$$\mathcal{H} \to \begin{pmatrix} H_{0,0;0,0} & H_{0,0;0,1} & H_{0,0;1,0} & H_{0,0;1,1} \\ H_{0,1;0,0} & H_{0,1;0,1} & H_{0,1;1,0} & H_{0,1;1,1} \\ H_{1,0;0,0} & H_{1,0;0,1} & H_{1,0;1,0} & H_{1,0;1,1} \\ H_{1,1;0,0} & H_{1,1;0,1} & H_{1,1;1,0} & H_{1,1;1,1} \end{pmatrix}$$

Matrix element:  $H_{\alpha,\beta;\alpha',\beta'} \equiv \langle \alpha\beta | \mathcal{H} | \alpha'\beta' \rangle$ 

# Quantum systems

Example of quantum system: Array of quantum bits

N bits: Dimension of the Hilbert space =  $2^N$ 



Hamiltonian is  $2^N \times 2^N$  matrix



Need to solve eigenvalue problem of huge matrix!

In physics,

• We often interested in the "ground state" (smallest eigenvalue)

基底状態



• We can concentrate to a special state.

Typical system only has "short range" interactions



Hamiltonian matrix becomes sparse.

# (Quantum) spin system

Spin systems:

Spin degree of freedoms defined on a lattice and interact each other



3D simple cubic, FCC lattice, BCC lattice, ...

## Quantum spin

Spin operator:  $(S_x, S_y, S_z)$ 

Commutation relation (交換関係)  $[S_x, S_y] = i\hbar S_z, [S_y, S_z] = i\hbar S_x, [S_z, S_x] = i\hbar S_y$  $[A, B] \equiv AB - BA$ 

Spin quantum number operator:

(スピン量子数)

$$S^2 = S_x^2 + S_y^2 + S_z^2$$

Simultaneous eigenstate of S<sup>z</sup> and S<sup>2</sup>:  $|S_z, S\rangle$ 

$$S^{2}|S_{z}, S\rangle = \hbar^{2}S(S+1)|S_{z}, S\rangle$$
$$S_{z}|S_{z}, S\rangle = \hbar S_{z}|S_{z}, S\rangle$$

(Hereafter, we set  $\hbar = 1$ )

Quantized spin number

$$S = \frac{1}{2}, 1, \frac{3}{2}, 2, \dots$$
$$S_z = -S, -S + 1, \dots, S - 1, S$$

## Quantum spin: S=1/2

Matrix representation of the spin operators:  $S = \frac{1}{2}$ 

$$S_x = \frac{1}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, S_y = \frac{1}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, S_z = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

We can consider S=1/2 spin as a quantum bit : 
$$|0\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, |1\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

Spins on a chain:



"Transverse field Ising model" (横磁場イジング模型) L=2

$$\mathcal{H} = -\sum_{i=1}^{L-1} S_{i,z} S_{i+1,z} - \Gamma \sum_{i=1}^{L} S_{i,x} \qquad \mathcal{H} = \begin{pmatrix} -1/4 & -\Gamma/2 & -\Gamma/2 & 0\\ -\Gamma/2 & 1/4 & 0 & -\Gamma/2\\ -\Gamma/2 & 0 & 1/4 & -\Gamma/2\\ 0 & -\Gamma/2 & -\Gamma/2 & -1/4 \end{pmatrix}$$



## Quantum spin: S=1/2

"Transverse field Ising model"



# Classical problems

Two types of classical many-body problems

1. Approximation of quantum problems

Nature: Elementary particles obey quantum mechanics.

$$i\hbar\frac{\partial}{\partial t}|\Psi\rangle = \mathcal{H}|\Psi\rangle$$



Classical mechanics is an approximation

## 2. Pure classical problems

Classical problems not necessary based on quantum mechanics

- Percolation, covering, packing, ...
- Stochastic process, "dynamical" system, ..
- Critical phenomena
- . . .

## Classical problems as an approximation: magnetism

Electron Spin: "Quantum" degree of freedom

For accurate treatment, the spin quantum number S is important S=1/2, 1, 3/2, ...



However, we can approximate the system by taking the limit of  $S \rightarrow \infty$ . "classical" spin model

- Classical Heisenberg model
- Anisotropy: Ising model, XY model
- •

## Classical spin degree of freedom

Classical spin:  $S \to \infty$  limit of quantum spin

2. simple degree of freedom reflecting symmetry

1. Heisenberg spin  $S_i = (S_i^x, S_i^y, S_i^z)$ 

Three component unit vector:  $(S_i^x)^2 + (S_i^y)^2 + (S_i^z)^2 = 1$ 

A lot of magnetism can be understand through classical Heisenberg spin

2. Ising spin  $S_i = \pm 1 = \uparrow, \downarrow$ 

- Strong easy axis anisotropy
- Representing underlying  $Z_2$  symmetry

3. XY spin  $S_i = (S_i^x, S_i^y)$  Two component unit vector:  $(S_i^x)^2 + (S_i^y)^2 = 1$ 

- Strong easy plane anisotropy
- Representing underlying U(1) symmetry

## Classical Ising spin vs. quantum spin



In the case of classical system, the Hamiltonian is "diagonal".

- We do not need explicit diagonalization.
- "State" can be represented by a product of local DOF.

 $\sim O(L)$ 

(Degrees Of Freedom)

• Although, # of states is  $\sim O(2^L)$ 

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# 線形代数の復習 (必要なければ飛ばします)

# Outline

- Vector space- Abstract vectors-
  - General vector space (with inner product)
  - Basis and relation to coordinate vector space
  - Vector subspace and spanned vector subspace
- Matrix and linear map
  - Relation between matrices and linear maps
  - Important properties and operations for matrices
  - Relation to simultaneous linear equations
- Eigenvalue problem and diagonalization

## Vector space -Abstract vectors-

## Geometric vector

Geometric vector: Arrow on the plane (or the space),

Ρ

which has "Direction" and "Length"

$$\vec{v} \equiv \overrightarrow{OP}$$

We can express a vector by its component:

$$\vec{v} = \begin{pmatrix} v_x \\ v_y \\ v_z \end{pmatrix} = \begin{pmatrix} x_p - x_o \\ y_p - y_o \\ z_p - z_o \end{pmatrix}$$

## Properties of vector

Properties of addition:

$$\vec{a} + \vec{b} = \vec{b} + \vec{a}$$
$$\left(\vec{a} + \vec{b}\right) + \vec{c} = \vec{a} + \left(\vec{b} + \vec{c}\right)$$
$$\vec{a} + \vec{0} = \vec{a}$$
$$\vec{a} + (-\vec{a}) = \vec{0}$$

Commutative property(交換法則) Associative property(結合法則) zero vector inverse vector  $\overrightarrow{a}$   $\overrightarrow{-a}$ 

Multiplication of scaler  $c \in \mathbb{R}$  (実数):

$$c(\vec{a} + \vec{b}) = c\vec{b} + c\vec{a}$$
$$(c+d)\vec{a} = c\vec{a} + d\vec{a}$$
$$(cd)\vec{a} = c(d\vec{a})$$

Distributive property (分配法則)

## Inner product of vector

Inner product:

$$(\vec{a}, \vec{b}) \equiv \vec{a} \cdot \vec{b}$$
  
=  $a_x b_x + a_y b_y + a_z b_z$ 

Example:

$$\vec{a} = \begin{pmatrix} a_x \\ a_y \\ a_z \end{pmatrix}, \vec{b} = \begin{pmatrix} b_x \\ b_y \\ b_z \end{pmatrix}$$

Properties:

$$(\vec{a}, \vec{a}) \ge 0$$
  

$$(\vec{a}, \vec{b}) = (\vec{b}, \vec{a})$$
  

$$(\vec{a} + \vec{b}, \vec{c}) = (\vec{a}, \vec{c}) + (\vec{b}, \vec{c})$$
  

$$(c\vec{a}, \vec{b}) = c(\vec{a}, \vec{b}) \qquad c \in \mathbb{R}$$

Norm (length):

$$\|\vec{a}\| \equiv \sqrt{(\vec{a}, \vec{a})}$$

## Vector space (linear space)

Vector space  $\,\mathbb V\,$  : generalization of geometric vector

Set of elements (vectors) satisfying following axioms (公理)

## **Properties of addition:**

$$\vec{a} + \vec{b} = \vec{b} + \vec{a}$$
$$\left(\vec{a} + \vec{b}\right) + \vec{c} = \vec{a} + \left(\vec{b} + \vec{c}\right)$$
$$\vec{a} + \vec{0} = \vec{a}$$
$$\vec{a} + (-\vec{a}) = \vec{0}$$

Multiplication of scaler c:

$$c(\vec{a} + \vec{b}) = c\vec{b} + c\vec{a}$$
$$(c+d)\vec{a} = c\vec{a} + d\vec{a}$$
$$(cd)\vec{a} = c(d\vec{a})$$

Commutative property (交換法則)

Associative property(結合法則)

Existence of unique zero vector

Existence of unique inverse vector

 $c \in \mathbb{R}$  : Real vector space

 $c \in \mathbb{C}$  : Complex vector space

# Inner product space (metric vector space)

Inner product space:

Vector space + definition of inner product

Inner product:  $(\vec{a}, \vec{b})$ 

#### Axiom:

$$(\vec{a}, \vec{a}) \ge 0$$
  

$$(\vec{a}, \vec{b}) = (\vec{b}, \vec{a})^*$$
  

$$(\vec{a} + \vec{b}, \vec{c}) = (\vec{a}, \vec{c}) + (\vec{b}, \vec{c})$$
  

$$(c\vec{a}, \vec{b}) = c(\vec{a}, \vec{b})$$

\*If a norm defined from the inner product is "complete" (完備), that space is called **Hilbert space**.

## Examples of vector spaces

(1) Coordinate space (数ベクトル空間)  $\mathbb{R}^n, \mathbb{C}^n$ 

Vector:  $\vec{v} = \begin{pmatrix} v_1 \\ v_2 \\ \vdots \\ v_n \end{pmatrix}$   $v_i \in \mathbb{R} \text{ or } \mathbb{C}$ 

Inner product:

$$(\vec{a}, \vec{b}) \equiv \vec{a} \cdot \vec{b}^*$$

(2) Wave vectors in quantum physics

Vector:  $|\Psi\rangle$ 

Inner product:

$$|a\rangle, |b\rangle) = \langle b|a\rangle$$

## Linearly independent or dependent ——(線形独立) ——(線形従属) ——

Linear combination:

 $\vec{x} = c_1 \vec{v}_1 + c_2 \vec{v}_2 + \cdots + c_k \vec{v}_k$ 

 $\vec{v}_i \in \mathbb{V} \qquad c_i \in \mathbb{R} \text{ or } \mathbb{C}$ 

A set  $\{\vec{v}_1, \vec{v}_2, \dots, \vec{v}_k\}$  is **linearly independent** when  $\vec{x} = \vec{0}$  is satisfied if and only if  $c_1 = c_2 = \dots = c_k = 0$ 

A set  $\{\vec{v}_1, \vec{v}_2, \cdots, \vec{v}_k\}$  is **linearly dependent** when

it is not linearly independent.

## Basis of vector space (基底)

# A set $\{\vec{e_1}, \vec{e_2}, \cdots \vec{e_n}\}$ $(\vec{e_i} \in \mathbb{V})$ is a basis (基底) of $\mathbb{V}$ when

$$\{\vec{e_1}, \vec{e_2}, \cdots \vec{e_n}\}$$
 is linearly independent.

and

Any vectors in  $\mathbb{V}$  are represented by its linear combination.

 $\vec{e_i}$ : basis vector

# of basis vectors (*n*) is called **dimension** (次元) of  $\mathbb{V}$ .

 $n = \dim \mathbb{V}$ 

## Relation (map) to coordinate vector space

By using a basis  $\{\vec{e_1}, \vec{e_2}, \cdots \vec{e_n}\}$ ,  $\vec{v} \in \mathbb{V}$  is uniquely represented as  $\vec{v} = v_1 \vec{e_1} + v_2 \vec{e_2} + \cdots + v_n \vec{e_n}$  (\* From linear independency)

We can represent  $\vec{v}$  as a coordinate vector

$$\vec{v} \to \begin{pmatrix} v_1 \\ v_2 \\ \cdots \\ v_n \end{pmatrix} \in \mathbb{C}^n (\text{ or } \mathbb{R}^n)$$

By selecting a basis, we obtain a "concrete" coordinate vector for an "abstract" vector

# Orthonormal basis (正規直交基底)

When a vector space has an inner product,  $\vec{a}, \vec{b}$  is **orthogonal** (直交)if  $(\vec{a}, \vec{b}) = 0$ .

## **Orthonormal basis**

A basis  $\{\vec{e}_1, \vec{e}_2, \dots \vec{e}_n\}$  is an orthonormal basis when  $\|\vec{e}_i\| = 1$   $(i = 1, 2, \dots, n)$  $(\vec{e}_i, \vec{e}_j) = 0$   $(i \neq j; i, j = 1, 2, \dots, n)$ 

\*A basis can be transformed into an orthonormal basis.

#### cf. Gram-Schmidt orthonormalization

## Example: wave vector

2 qbits: We can choose following four vectors as the (orthonormal) basis.

$$|0
angle\otimes|0
angle,|0
angle\otimes|1
angle,|1
angle\otimes|0
angle,|1
angle\otimes|1
angle$$

Simple notation: |00
angle, |01
angle, |10
angle, |11
angle

$$\Psi \rangle = \sum_{\alpha,\beta=0,1} C_{\alpha,\beta} |\alpha\beta\rangle$$

$$C_{\alpha,\beta} = \langle \alpha\beta |\Psi \rangle \text{ :complex number}$$

$$C \in \mathbb{C}^4$$
basis:  $|m_1, m_2, \cdots, m_N \rangle = |00 \cdots 0\rangle, |00 \cdots 1\rangle, |01 \cdots 0\rangle, \ldots$ 

Many qbits:

$$|\Psi\rangle = \sum_{\{m_i=0,1\}} T_{m_1,m_2,\cdots,m_N} |m_1,m_2,\cdots,m_N\rangle$$

$$T_{m_1,m_2,\cdots,m_N} = \langle m_1,m_2,\cdots,m_N | \Psi \rangle \implies T \in \mathbb{C}^{2^N}$$

Vector subspace (linear subspace)

### **Vector subspace**(ベクトル部分空間):

A subset  $\mathbb W$  of a vector space  $\mathbb V$  is a vector subspace of  $\mathbb V$  when  $\mathbb W$  satisfies the same axioms of vector space with  $\mathbb V$ .

The following conditions are necessary and sufficient.

$$\vec{a}, \vec{b} \in \mathbb{W} \qquad \qquad \vec{a} + \vec{b} \in \mathbb{W} \\ \vec{a} \in \mathbb{W}, c \in \mathbb{C} \qquad \qquad \vec{c} \vec{a} \in \mathbb{W}$$

(In the case of **complex** vector space)

## Spanned vector subspace

#### **Spanned subspace:**

For a subset S of a vector space V, a set of linear combinations  $\{c_1\vec{s}_1 + c_2\vec{s}_2 \cdots + c_k\vec{s}_k | c_i \in \mathbb{C}, \vec{s}_i \in S\}$ 

becomes a vector subspace of  $\mathbb{V}$ .

We often use

$$\operatorname{Span}\{\vec{s_1}, \vec{s_2}, \cdots, \vec{s_k}\}$$

to represents a vector subspace spanned by a set of vectors

$$\{ec{s}_1,ec{s}_2,\cdots,ec{s}_k\}$$

## Matrix and linear map

# Matrix (行列)

Matrix: "Table" of (complex) numbers in a rectangular form

$$M \times N \text{ matrix} \qquad A = \begin{pmatrix} A_{11} & A_{12} & \cdots & A_{1,N} \\ A_{21} & A_{22} & \cdots & A_{2,N} \\ \vdots & \vdots & & \vdots \\ A_{M1} & A_{M2} & \cdots & A_{M,N} \end{pmatrix}$$

Product of matrices: C = AB

$$C_{ij} = \sum_{k=1}^{K} A_{ik} B_{kj}$$

In general:  $XY \neq YX$ 

\*We also know addition, multiplication of scalar.

 $A: M \times K$ 

 $B: K \times N$ 

 $C: M \times N$ 

 $A_{ij} \in \mathbb{C}(\text{ or } \mathbb{R})$ 

# Identity matrix (単位行列)

## **Identity matrix:**

N × N matrix (Square matrix)

$$I = \begin{pmatrix} 1 & 0 & \cdots & 0 \\ 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 1 \end{pmatrix}$$

Product:

$$IA = A$$
  $A: N \times M$   
 $BI = B$   $B: K \times N$ 

\* Element of the identity matrix:  $I_{ij} = \delta_{ij}$  (Kronecker delta)

$$\delta_{ij} = \begin{cases} 1 & (i=j) \\ 0 & (i\neq j) \end{cases}$$

## Transpose, complex conjugate and adjoint

Transpose: 
$$A^t$$
  $(A^t)_{ij} = A_{ji}$  (転置)

Complex conjugate:  $A^*$   $(A^*)_{ij} = A^*_{ij}$  (複素共役)

Adjoint:  
(随伴)  
or  

$$A^{\dagger} = (A^{t})^{*} = (A^{*})^{t}$$
  
 $(A^{\dagger})_{ij} = A_{ji}^{*}$ 

Hermitian conjugate: (エルミート共役)

("Dagger" is convention in physics)

## Multiplication to coordinate vector



M × N matrix transforms a N-dimensional coordinate vector to a M-dimensional coordinate vector.



## General linear map

Map: 
$$f: \mathbb{V} \to \mathbb{V}'$$
  
 $f(\vec{v}) = \vec{v}' \qquad (\vec{v} \in \mathbb{V}, \vec{v}' \in \mathbb{V}')$ 

Linear map:

$$f(\vec{x} + \vec{y}) = f(\vec{x}) + f(\vec{y})$$
  
$$(\vec{x}, \vec{y} \in \mathbb{V}, c \in \mathbb{C})$$
  
$$f(c\vec{x}) = cf(\vec{x})$$

 $R_{z,\theta}(\vec{v})$ 

θ

 $|\Psi\rangle$ 

 $\vec{v}$ 

 $|\Psi
angle$ 

Examples:

**Rotation** (e.g.  $\theta$  rotation around z-axis)

$$R_{z,\theta}:\mathbb{C}^3\to\mathbb{C}^3$$

Hamiltonian operator

$$\mathcal{H}:\mathbb{V}\to\mathbb{V}$$
#### Matrix representation of linear map

By using a basis, we can represent a linear map in a matrix.  $f: \mathbb{V} \to \mathbb{V}'$ Vector space  $\mathbb{V}: \dim \mathbb{V} = N$ Basis  $\{\vec{e_1}, \vec{e_2}, \cdots, \vec{e_N}\}$   $\checkmark$   $\mathbb{V}': \dim \mathbb{V}' = M$  $\{\vec{e'_1}, \vec{e'_2}, \cdots, \vec{e'_M}\}$ 

Transformation of basis vectors:

$$f(\vec{e}_j) = f_{1j}\vec{e'}_1 + f_{2j}\vec{e'}_2 + \dots + f_{Mj}\vec{e'}_M$$

$$f: \mathbb{V} \to \mathbb{V}' \xrightarrow{\qquad \mathbf{1} \text{ to 1}}_{\text{(if we fix basis)}} \begin{pmatrix} f_{11} & f_{12} & \cdots & f_{1,N} \\ f_{21} & f_{22} & \cdots & f_{2,N} \\ \vdots & \vdots & & \vdots \\ f_{M1} & f_{M2} & \cdots & f_{M,N} \end{pmatrix}$$

#### Examples of matrix

**Rotation** (e.g.  $\theta$  rotation around z-axis)

/ тт

Hamiltonian operator

$$\mathcal{H}: \mathbb{V} \to \mathbb{V} \qquad \mathcal{H} \to \begin{pmatrix} H_{0,0;0,0} & H_{0,0;0,1} & H_{0,0;1,0} & H_{0,0;1,1} \\ H_{0,1;0,0} & H_{0,1;0,1} & H_{0,1;1,0} & H_{0,1;1,1} \\ H_{1,0;0,0} & H_{1,0;0,1} & H_{1,0;1,0} & H_{1,0;1,1} \\ H_{1,1;0,0} & H_{1,1;0,1} & H_{1,1;1,0} & H_{1,1;1,1} \end{pmatrix}$$

тт

 $R_{z,\theta}(\vec{v})$ 

TT

Matrix element:  $H_{\alpha,\beta;\alpha',\beta'} \equiv \langle \alpha\beta | \mathcal{H} | \alpha'\beta' \rangle$ (行列要素) \* In this notation, basis should be orthonormal.

# Image of a map

 $\begin{array}{c} f: \mathbb{V} \to \mathbb{V}' \\ \mbox{Image of } f: \\ (\ensuremath{\left\langle \ensuremath{\left\langle ensuremath{\left\langle ensuremath{\left\langle ensuremath{\left\langle ensuremath{\left\langle ensuremath{\left\langle ensuremath{\left\langle ensuremath{\langle ensuremath{\left\langle ensuremath{\left\langle ensuremath{\left\langle ensuremath{\left\langle ensuremath{\langle ensuremat}\langle ensuremath{\langle ensuremath{\langle ensuremath{\langle ensuremat}\langle ensuremath{\langle ensuremath{\langle en$ 

$$\operatorname{img}(f) = \{ \vec{v}' | \vec{v} \in \mathbb{V}, \vec{v}' = f(\vec{v}) \}$$

### Kernel of a map



$$\ker(f) = \{\vec{v} | \vec{v} \in \mathbb{V}, f(\vec{v}) = \vec{0}'\}$$

**Theorem:** 

 $\dim(V) = \dim(\ker(f)) + \dim(\operatorname{img}(f))$ 

#### Rank of matrix

# Rank (ランク or 階数) of a matrix A: rank $(A) \equiv \dim(\operatorname{img}(A))$

Rank is identical with

Maximum # of linearly independent column vectors (列ベクトル) in A Maximum # of linearly independent row vectors (行ベクトル) in A



 $\operatorname{rank}(A) \le \min(M, N)$ 

for a  $N \times M$  matrix A.



# Regular matrix and its inverse matrix

A square matrix A is a **regular matrix** (正則) if a matrix X satisfying

$$AX = XA = I$$

exists. The matrix X is called inverse matrix (逆行列) of A and it is written as  $X = A^{-1}$ 

**Properties:**  $A^{-1}$  is unique.

$$(A^{-1})^{-1} = A$$
  
 $(AB)^{-1} = B^{-1}A^{-1}$ 

A is a regular matrix

$$\operatorname{rank}(A) = N$$

Can we consider an "inverse matrix" of a non-regular matrix (including a rectangular matrix)?

Eigenvalue problems and diagonalization

# Eigenvalue and Eigenvector

For a square matrix A

$$A\vec{v} = \lambda\vec{v}$$

 $\vec{v} \neq \vec{0}$  :eigenvector (固有ベクトル)

$$\lambda \in \mathbb{C}$$
:eigenvalue (固有値)

Properties:

If  $\vec{v}$  is an eigenvector,  $c\vec{v}$  is also an eigenvector.

Eigenspace(固有空間):

The set of eigenvectors corresponds an eigenvalue  $\lambda$ .

Eigenvectors corresponding to different eigenvalues are linearly independent.

# Right and left eigenvectors

In general, left eigenvectors can be different from the right eigenvectors.

$$\begin{aligned} A\vec{v} &= \lambda\vec{v} \\ (\vec{u}^*)^t A &= \lambda(\vec{u}^*)^t \\ \vec{v} &: \text{Right eigenvector} \\ (\vec{u}^*)^t : \text{Left eigenvector} \end{aligned}$$

#### **Properties:**

Set of eigenvalues are identical between the right and the left eigenvectors.

A left eigenvector and a right eigenvector are orthogonal when they correspond to different eigenvalues.

$$\vec{u}_i^* \cdot \vec{v}_j = 0 \quad (\lambda_i \neq \lambda_j)$$

# Diagonalization

Diagonalization(対角化):  $A: N \times N \qquad P^{-1}AP = \begin{pmatrix} \alpha_1 & & \\ & \alpha_2 & \\ & & \ddots \end{pmatrix}$ A has N linearly independent A can be diagonalized. eigenvectors. necessary  $\alpha_i = \lambda_i$ and sufficient  $P = (\vec{v}_1, \vec{v}_2, \cdots, \vec{v}_N)$  $(P^{-1})^t = (\vec{u}_1^*, \vec{u}_2^*, \cdots, \vec{u}_N^*)$ Normalization:  $\vec{u}_i^* \cdot \vec{v}_i = 1$ 

### Meaning of diagonalization

General transform using a regular matrix:  $P^{-1}AP$ 

It is a transform of the basis:

$$\{\vec{e}_1, \vec{e}_2, \cdots, \vec{e}_N\} \to \{P\vec{e}_1, P\vec{e}_2, \cdots, P\vec{e}_N\}$$

Diagonalization:

By using eigenvectors as a basis, we can obtain a simple linear map represented by a diagonal matrix.  $A \rightarrow P^{-1}AP$ 

\* The determinant of A is invariant under this transformation:  $\det(P^{-1}AP) = \det(P^{-1})\det(A)\det(P^{-1}) = \det(A)\det(P^{-1}P) = \det(A)$  $\det(A) = \prod_{i} \lambda_i$ 

(This relation is true even if A cannot be diagonalized)

**Unitary matrix** (ユニタリ行列) : 
$$U^{\dagger} = U^{-1}$$

**Real Orthogonal matrix**(実直交行列):  $P^t = P^{-1}, (P_{ij} \in \mathbb{R})$ 

When we consider a unitary matrix as a set of vectors:

$$U = (\vec{v}_1, \vec{v}_2, \cdots, \vec{v}_N)$$

it is a orthonormal basis:  $\vec{v}_i^* \cdot \vec{v}_j = \delta_{i,j}$ 

The linear map represented by a unitary matrix (**unitary transformation**) does not change

the norm of a vector

 $\|U\vec{v}\| = \|\vec{v}\|$ 

"distance" between two vectors

$$\|U\vec{v}_1 - U\vec{v}_2\| = \|\vec{v}_1 - \vec{v}_2\|$$

# **Normal matrix**(正規行列) : $A^{\dagger}A = AA^{\dagger}$



We can always diagonalize it by a unitary matrix  $U^{\dagger} = U^{-1}$ 

as  

$$U^{\dagger}AU = \begin{pmatrix} \lambda_{1} & & \\ & \lambda_{2} & \\ & & \ddots & \\ & & & \ddots & \\ & & & & \lambda_{N} \end{pmatrix} \qquad \lambda_{i} \in \mathbb{C}$$

Its eigenvalues could be complex. (even if A is a real matrix)

#### Hermitian matrix and its eigenvalue

**Hermitian matrix** (エルミート行列) :  $A^{\dagger} = A$ 

**Real symmetric matrix**(実対称行列):  $A^t = A$ ,  $(A_{ij} \in \mathbb{R})$ 



It is a special normal matrix.  $A^{\dagger}A = AA^{\dagger} = AA$ Its eigenvalues are real.

We can always diagonalize it by a unitary matrix

 $U^{\dagger}AU = \begin{pmatrix} \lambda_1 & & \\ & \lambda_2 & \\ & & \ddots & \\ & & & \ddots & \\ & & & & \lambda_N \end{pmatrix} \qquad \lambda_i \in \mathbb{R}$ 

Hermitian (or real symmetric) matrices often appear in physics.

# Generalization of diagonalization

- Eigenvalue problems and diagonalizations are defined for a square matrix.
- Even if A is a square matrix, it may not be diagonalized.
  - Is it possible to transform all square matrixes into diagonal forms by generalizing the diagonalization?
  - Is it possible to generalize it to a rectangular matrices?

Yes. **The singular value decomposition** (特異値分解) is an generalization of the diagonalization.

(We can also consider a decomposition of a tensor.)

1日目	1.現代物理学における巨大なデータと情報圧縮 2.格子スピン模型の統計力学
	3.線形代数の復習
2日目	4.特異値分解と低ランク近似
	5.テンソルネットワーク繰り込みによる情報圧縮
	6.情報のエンタングルメントと行列積表現
3日目	7.行列積表現の固有値問題への応用
	8.テンソルネットワーク表現への発展
Optional	9.フラストレート磁性体への応用

# 特異値分解と低ランク近似

# Outline

- Singular value decomposition (SVD)
- Low rank approximation
  - Low rank approximation by SVD
  - Low "rank" approximation for tensor
- Application of the low rank approximations to images

Singular value decomposition

# Diagonalization

biagonalization(対角化):  

$$A: N \times N$$
(Square matrix)
$$P^{-1}AP = \begin{pmatrix} \lambda_1 & & \\ & \lambda_2 & \\ & & \ddots & \\ & & \ddots & \\ & & & \lambda_N \end{pmatrix}$$

$$A\vec{v} = \lambda\vec{v}$$

$$P = (\vec{v}_1, \vec{v}_2, \cdots, \vec{v}_N)$$

$$(\vec{u}^*)^t A = \lambda(\vec{u}^*)^t$$

$$(P^{-1})^t = (\vec{u}_1^*, \vec{u}_2^*, \cdots, \vec{u}_N^*)$$

- Eigenvalue problems and diagonalizations are defined for a square matrix.
- Even if A is a square matrix, it may not be diagonalized.
  - Normal or Hermitian matrices are always diagonalized by a unitary matrix

#### Spectral decomposition



Matrix decomposition into a sum of projectors onto its eigen subspaces.

**Projector:**  $P^2 = P$ 

Singular value decomposition (SVD)



1. Any matrices can be decomposed as SVD:  $A = U\Sigma V^{\dagger}$ 

$$A: M \times N \implies A^{\dagger}A: N \times N$$
  
\* $A^{\dagger}A$  is a Hermitian matrix.  
 $(A^{\dagger}A)^{\dagger} = A^{\dagger}A \implies$   
It can be diagonalized by  
a unitary matrix  $V$ .  
 $V^{\dagger}(A^{\dagger}A)V = \text{diag}\{\lambda_1, \lambda_2, \cdots, \lambda_N\}$   
 $V = (\vec{v}_1, \vec{v}_2, \cdots, \vec{v}_N)$   
 $\vec{v}_i : \text{eigenvector}$ 

\* A<sup>†</sup>A is a positive semi-definite matrix. (半正定値、準正定値)

 $\vec{x}^* \cdot (A^\dagger A \vec{x}) = \|A \vec{x}\|^2 \ge 0$ 

Its eigenvalues are non-negative

 $\lambda_1 \geq \lambda_2 \geq \cdots \geq \lambda_N \geq 0$ 

1. Any matrices can be decomposed as SVD:  $A = U\Sigma V^{\dagger}$ 

$$V^{\dagger}(A^{\dagger}A)V = \operatorname{diag}\{\lambda_{1}, \lambda_{2}, \cdots, \lambda_{N}\}$$
  

$$V = (\vec{v}_{1}, \vec{v}_{2}, \cdots, \vec{v}_{N})$$

$$(||A\vec{v}_{i}||^{2} = \lambda_{i})$$

**Suppose**  $\lambda_1 \ge \lambda_2 \ge \cdots \ge \lambda_r > 0 = \lambda_{r+1} = \cdots = \lambda_N$ (There are *r* positive eigenvalues.)

Make new orthonormal basis 
$$U = (\vec{u}_1, \vec{u}_2, \cdots, \vec{u}_M)$$
 in  $\mathbb{C}^M$   
For  $(i = 1, 2, \dots, r)$   $\sigma_i = \sqrt{\lambda_i}, \vec{u}_i = \frac{1}{\sigma_i} A \vec{v}$   
For  $(i = r + 1, \dots, M)$  Any orthonormal basis orthogonal to  $\vec{u}_i$   $(i = 1, 2, \dots, r)$   
 $\vec{u}_i^* \cdot (A \vec{v}_j) = \sigma_i \delta_{ij}$   $(i = 1, \dots, M; j = 1, \dots, N)$   
(For simplicity, we set  $\sigma_i = 0$  for  $i > r$ .)

1. Any matrices can be decomposed as SVD:  $A = U\Sigma V^{\dagger}$ We can perform same "proof" by using  $AA^{\dagger}$ .

$$U = (\vec{u}_1, \vec{u}_2, \cdots, \vec{u}_M) \text{ is the unitary matrix}$$
  
which diagonalize  $AA^{\dagger}$  as  
 $U^{\dagger}(AA^{\dagger})U = \text{diag}\{\lambda_1, \lambda_2, \dots, \lambda_r, 0, \dots, 0\}$   
 $M - r$ 

In summary,

- A matrix A can be decomposed as SVD:  $A = U \Sigma V^{\dagger}$
- Singular values are related to the eigenvalues of  $A^{\dagger}A$  and  $AA^{\dagger}$  as

$$\sigma_i = \sqrt{\lambda_i}$$
 .

• V and U are eigenvectors of  $A^{\dagger}A$  and  $AA^{\dagger}$  ,respectively.

# Properties of SVD 2 $A = U\Sigma V^{\dagger}$

2. # of positive singular values is identical with the rank.

$$A: M \times N \twoheadrightarrow A: \mathbb{C}^{N} \to \mathbb{C}^{M}$$
rank(A) = dim(img(A))  
**Remember**  
The orthonormal basis { $\vec{v}_1, \vec{v}_2, \dots, \vec{v}_N$ } satisfies  
 $(A\vec{v}_i)^* \cdot (A\vec{v}_j) = \lambda_i \delta_{ij}$   
Here,  $\lambda_1 \ge \lambda_2 \ge \dots \ge \lambda_r > 0 = \lambda_{r+1} = \dots = \lambda_N$  and  $\sigma_i = \sqrt{\lambda_i}$   
 $\forall \vec{x} \in \mathbb{C}^N, \vec{x} = \sum_{i=1}^N C_i \vec{v}_i \longrightarrow A\vec{x} = \sum_{i=1}^N C_i (A\vec{v}_i) = \sum_{i=1}^r C_i (A\vec{v}_i)$   
 $img(A) = \text{Span}\{A\vec{v}_1, A\vec{v}_2, \dots, A\vec{v}_r\}$   
 $img(img(A)) = r = \# \text{ of positive singular values}$ 

 $A = U\Sigma V^{\dagger}$ 

#### 3. Singular vectors

 $A: M \times N$   $U = (\vec{u}_1, \vec{u}_2, \cdots, \vec{u}_M), V = (\vec{v}_1, \vec{v}_2, \cdots, \vec{v}_N)$ For i = 1, 2, ..., rA  $A\vec{v}_i = \sigma_i \vec{u}_i$  .  $A^{\dagger}\vec{u}_i = \sigma_i \vec{v}_i$  $\vec{v}_i$ : right singular vector  $\vec{v}_2$  $\sigma_2 \vec{u}_2 \bigvee_{\sigma_1 \vec{u}_1} \vec{u}_1$  $\vec{v}_1$  $\vec{u}_i$ : left singular vector **Relation to image and kernel:** cf. Hermitian matrix  $\operatorname{img}(A) = \operatorname{Span}\{\vec{u}_1, \vec{u}_2, \dots, \vec{u}_r\}$ A $\ker(A) = \operatorname{Span}\{\vec{v}_{r+1}, \vec{v}_{r+2}, \dots, \vec{v}_N\}$  $\vec{v}_2$  $|\lambda_2|\vec{v}_2|$  $\vec{v}_1$  $\operatorname{img}(A^{\dagger}) = \operatorname{Span}\{\vec{v}_1, \vec{v}_2, \dots, \vec{v}_r\}$  $\ker(A^{\dagger}) = \operatorname{Span}\{\vec{u}_{r+1}, \vec{u}_{r+2}, \dots, \vec{u}_M\}$ 

# Properties of SVD 4 (optional) $A = U\Sigma V^{\dagger}$

4. Min-max theorem (Courant-Fischer theorem)



# Properties of SVD 4 (optional) $A = U\Sigma V^{\dagger}$

4. Min-max theorem (Courant-Fischer theorem)

 $A: M \times N$ Suppose its singular values are  $\sigma_1 \ge \sigma_2 \ge \cdots$  $\sigma_k = \min_{\substack{S; \dim(S) \le k-1 \ x \in S^\perp; \|x\|=1}} \max_{\substack{\|Ax\| \le s \le S^\perp; \|x\|=1}} \|Ax\|$ 

By setting k=1,

$$\sigma_1 = \max_{\vec{x} \in \mathbf{C}^N, \|\vec{x}\|=1} \|A\vec{x}\|$$

which means

$$\|A\vec{x}\| \le \sigma_1 \|\vec{x}\|$$

for  $\vec{x} \in \boldsymbol{C}^N$ 

We can easily prove this by using  $A^{\dagger}A: \text{Hermitian}$  $A^{\dagger}A\vec{v_i} = \lambda_i$  $\sigma_i = \sqrt{\lambda_i}$ 

# Properties of SVD 5 (optional) $A = U\Sigma V^{\dagger}$

5. Singular values for multiplication and addition

 $\sigma_i(A)$ : singular value of matrix *A* (for  $i > \operatorname{rank}(A)$ , we set  $\sigma_i = 0$ )

\*Following properties can be proven by using min-max theorem.

Multiplication:  $A: M \times L, B: L \times N$  $\sigma_k(AB) \leq \sigma_1(A)\sigma_k(B) \qquad (k = 1, 2, ...)$ 

$$(\sigma_k(AB) \le \sigma_k(A)\sigma_1(B))$$

 $\operatorname{rank}(AB) \le \min(\operatorname{rank}(A), \operatorname{rank}(B))$ 

Addition:  $A, B : M \times N$ 

$$\sigma_{k+j-1}(A+B) \le \sigma_k(A) + \sigma_j(B) \quad (k,j=1,2,\dots)$$
  
$$(\sigma_{k+j-1}(A+B) \le \sigma_j(A) + \sigma_k(B))$$

If 
$$\operatorname{rank}(B) \leq r$$
,  
 $\sigma_{k+r}(A+B) \leq \sigma_k(A)$ 



numpy and scipy modules in python have routines for SVD.

numpy.linalg.svd

scipy.linalg.svd

scipy.sparse.linalg.svds

#### **Computation cost**

For a  $M \times N$  matrix ( $M \leq N$ ):

Full SVD: O(*NM*<sup>2</sup>) Partial SVD: O(*NMk*)

(For dense matrices)

(For sparse matrices or

calculation of partial singular values)

*k* : # of singular values to be calculated

# Low rank approximation

#### Amount of data in SVD representation

 $A:M\times N$ 

$$A = U\Sigma V^{\dagger} = U \begin{pmatrix} \Sigma_{r \times r} & 0_{r \times (N-r)} \\ 0_{(M-r) \times r} & 0_{(M-r) \times N-r} \end{pmatrix} V^{\dagger}$$
$$U = (\vec{u}_1, \vec{u}_2, \cdots)$$

neglect zero singular values

$$\bar{U}:M\times r,\bar{V}^{\dagger}:r\times N$$

If rank(A) is much smaller than M and N,  $r \ll M, N$ we can reduce the data to represent A. (At this stage, no data loss)

 $\rightarrow = \bar{U} \Sigma_{r \times r} \bar{V}^{\dagger}$ 

$$U = (\vec{u}_1, \vec{u}_2, \cdots, \vec{u}_M)$$
  

$$V = (\vec{v}_1, \vec{v}_2, \cdots, \vec{v}_N)$$
  

$$\bar{U} = (\vec{u}_1, \vec{u}_2, \dots, \vec{u}_r)$$
  

$$\bar{V} = (\vec{v}_1, \vec{v}_2, \dots, \vec{v}_r)$$

# Low rank approximation

# Low rank approximation (低ランク近似)

Find an approximate matrix  $A\simeq \tilde{A}$ 

with lower rank:

 $\operatorname{rank}(A) > \operatorname{rank}(\tilde{A})$ 



Through the low rank approximation, we can reduce amount of the data.

An example of information compressions.

Notice! In order to quantify accuracy of the approximation, we need a measure of distance between matrices.

# Low rank approximation by SVD

Consider a matrix obtained by neglecting smaller singular values

$$A = \bar{U}\Sigma_{r \times r} \bar{V}^{\dagger} \qquad \qquad \tilde{A} = \tilde{U}\Sigma_{k \times k} \tilde{V}^{\dagger} \qquad (k < r)$$

$$\Sigma_{r \times r} = \operatorname{diag}(\sigma_{1}, \sigma_{2}, \dots, \sigma_{r})$$

$$\bar{U} = (\vec{u}_{1}, \vec{u}_{2}, \dots, \vec{u}_{r})$$

$$\bar{V} = (\vec{v}_{1}, \vec{v}_{2}, \dots, \vec{v}_{r})$$

$$\sigma_{1} \ge \sigma_{2} \ge \dots \ge \sigma_{r} > 0$$

$$\operatorname{rank}(A) = r \qquad \qquad \operatorname{rank}(\tilde{A}) = k < r$$

This approximation is one of the low rank approximation.

\* For this approximation, we need O(MNk) calculations for SVD of a  $M \times N$  matrix.

#### Norm of matrices ||A||

There are two popular norms:

(1) **Frobenius norm** (フロベニウス ノルム)

$$\|A\|_F = \sqrt{\sum_{i,j} |A_{ij}|^2} = \sqrt{\operatorname{Tr}(A^{\dagger}A)} \quad \operatorname{*Trace} ( \mathfrak{A} \mathfrak{H} \mathfrak{A} )$$
$$\operatorname{Tr}(X) = \sum X_{ii}$$

(2) **Operator norm**(作用素ノルム)

$$\begin{split} \|A\|_{O} &= \inf\{c \ge 0; \|A\vec{x}\| \le c \|\vec{x}\|\} \\ &= \sigma_1(A) \\ & \text{*inf =infimum (TR)} \end{split}$$
 \*We define the norm for a vector as  $\|\vec{x}\| = \sqrt{\sum_i |x_i|^2} \end{split}$ 

By using these norms, we define the distance between matrices:  $||A - \tilde{A}||$
# Accuracy of low rank approximation by SVD

#### Theorem

For  $A: M \times N$  $\min\{\|A - B\|_F : \operatorname{rank}(B) = k\} = \sqrt{\sum_{i=k+1}^{\min(N,M)} \sigma_i^2}$   $\min\{\|A - B\|_O : \operatorname{rank}(B) = k\} = \sigma_{k+1}$ 



Because the k-rank approximation by SVD gives  $\|A - \tilde{A}\|_F = \sqrt{\sum_{i=k+1}^{\min(N,M)} \sigma_i^2}, \qquad \|A - \tilde{A}\|_O = \sigma_{k+1}$ 

it is an "optimal" approximation with rank k.

### Short proof of the theorem: Frobenius norm (optional)

\*This proof is based on "システム制御のための数学(1)"太田快人 著

From the inequality of singular values for matrix addition (property 5),

for j=1,..., min(M,N) 
$$(\operatorname{rank}(B) = k)$$
  
 $\sigma_{j+k}(A) = \sigma_{j+k}((A - B) + B) \leq \sigma_j(A - B)$   
Property 5

By taking a square and summing up them  $\sum_{i=k+1}^{\min(M,N)} \sigma_i^2(A) \leq \sum_{j=1}^{\min(M,N)} \sigma_j^2(A-B) = \|A-B\|_F^2$ 

\*Note  $\sigma_j(A) = 0$   $(j > \operatorname{rank}(A))$ 

### Short proof of the theorem: operator norm (optional)

\*This proof is based on "システム制御のための数学(1)"太田快人 著

From the min-max theorem of singular values (property 4),

 $(\operatorname{rank}(B) = k)$ 

$$\sigma_{k+1}(A) \leq \max_{\vec{x} \in \ker(B), \|\vec{x}\|=1} \|A\vec{x}\| = \max_{\vec{x} \in \ker(B), \|\vec{x}\|=1} \|(A-B)\vec{x}\|$$
Property4 with
$$B\vec{x} = 0 \quad (\vec{x} \in \ker(B))$$

$$S^{\perp} = \ker(B)$$

$$\leq \max_{\|\vec{x}\|=1} \|(A-B)\vec{x}\| = \|A-B\|_{O}$$
Expand the
vector space
Definition of the operator norm

# Relation to principal component analysis (主成分分析)

#### Data set $\{X_{ij}\}$ : X: N × M matrix

i = index for data, j = data type (coordinates, momentum, ...)

\* Suppose the mean of data is 0:  $\sum X_{ij} = 0$ 



Covariance matrix (共分散行列):  $C = X^T X$ 

#### Principal component analysis (PCA):

Data compression through the spectrum decomposition of C.

$$\begin{split} C = V\Lambda V^T & \Lambda: \text{diagonal matrix, } \Lambda_{ii} = \lambda_i \geq 0 \\ V = (\vec{v}_1, \vec{v}_2, \cdots, \vec{v}_N) \end{split}$$

 $\vec{v}_i$  corresponding to large  $\lambda_i$  contains important information.

By construction,  $\lambda$  and V are related to SVD of X!

$$X = U\Sigma V^T \quad , \sigma_i = \sqrt{\lambda_i}$$



PCA can be regarded as the low rank approximation *X*.

Generalization to tensors

# Scalar, Vector, Matrix, Tensor,...

Scalar: c Number i

Vector:  $v_i$  One dimensional array of numbers

Matrix:  $M_{ij}$  Two dimensional array of numbers

Tensor:  $T_{ijk...}$  Higher dimensional array of numbers



Scalar: 0-dim. tensor Vector: 1-dim. tensor Matrix: 2-dim. tensor



# Graphical representations for tensor network

- Vector  $\vec{v}: v_i$
- Matrix  $M: M_{i,j}$
- Tensor  $T : T_{i,j,k}$



\* n-rank tensor = n-leg object

When indices are not presented in a graph, it represent a tensor itself.

$$\vec{v} =$$
  $T =$   $-$ 

# Graphical representations for tensor network

### Matrix product

$$C_{i,j} = (AB)_{i,j} = \sum_{k} A_{i,k} B_{k,j}$$

$$C = AB$$

**Generalization to tensors** 

$$\sum_{\alpha,\beta,\gamma} A_{i,j,\alpha,\beta} B_{\beta,\gamma} C_{\gamma,k,\alpha}$$

**Contraction of a network** = Calculation of a lot of multiplications





# Low rank approximation: generalization to tensor

Tensor:  $T_{ijk...}$ 

Naive application of SVD:

Make a matrix by dividing indices into two parts.

 $T_{ijkl} \to T_{(il),(jk)}$ 

Then apply SVD (and low rank approximation).



k k

Note: The result depends on the initial mapping to a matrix.

# CP decomposition

Review: T. G. Kolda et al, SIAM Review 51, 455 (2009)

CP (Canonical Polyadic) decomposition: Hitchcock (1927)



Low "rank" approximation

r' < r

$$T_{ijkl} \approx \sum_{\alpha=1}^{I} (\tilde{V}_{\alpha}^{(1)})_i (\tilde{V}_{\alpha}^{(2)})_j (\tilde{V}_{\alpha}^{(3)})_k (\tilde{V}_{\alpha}^{(4)})_l$$

rank-r' approximation

# Tucker decomposition: generalization of SVD



## Higher order SVD (HOSVD)

L. De Lathauwer et al, SIAM J. Matrix Anal. & Appl., 21, 1253 (2000)

Define a factor matrix from matrix SVD:



Core tensor is calculated as

$$S_{i'j'k'l'} \equiv \sum_{ijkl} T_{ijkl} (U^{(1)})_{i'i}^{\dagger} (U^{(2)})_{j'j}^{\dagger} (U^{(3)})_{k'k}^{\dagger} (U^{(4)})_{l'l}^{\dagger}$$

Properties of the core tensor

Dot product

$$S_{:,i_n=\alpha,:,:}^* \cdot S_{:,i_n=\beta,:,:} = \begin{cases} 0 & (\alpha \neq \beta) \\ (\sigma_{\alpha}^{(n)})^2 & (\alpha = \beta) \end{cases} \qquad A \cdot B \equiv \sum_{i,j,k,l} A_{ijkl} B_{ijkl}$$

Generalization of the diagonal matrix  $\Sigma$  in matrix SVD.

\* Low-rank approximation based on HOSVD is not optimal.

# Application of low rank approximation

Sample codes will be uploaded on the website

SVD\_sample.zip

(python3 (or python2.7) + numpy + PIL)

(python and jupyter notebook codes)

### Image compression: grayscale image

#### Image: $1024 \times 768$ pixels



768 x1024 matrix A

 $\operatorname{rank}(A) = 768$ 

Amount of data=786,432

### Perform SVD of A: $A = U\Sigma V^{\dagger}$

 $\operatorname{rank}(\chi)$  approximation

Amount of data=(768 +1024 + 1)× $\chi$ 

### Image compression: grayscale image



Rank: $\chi = 768$ $\chi = 100$	$\chi = 10$
---------------------------------	-------------

Data: **786,432 179,300** (Original)

179,30

### Image compression: color image

#### Image: $1024 \times 768$ pixels



Two image compressions: Perform SVD for R, G, B

Perform HOSVD for T

#### 768 x1024x3 tensor T

\* Sub matrices for RGB colors  $R_{ij} = T_{ij1}, G_{ij} = T_{ij2}, B_{ij} = T_{ij3}$ 

 $rank(\chi)$  approximation for RGB matrices

Amount of data= $3\times(768 + 1024 + 1)\times\chi$ 

 $\operatorname{rank}(\chi',\chi',3)$  approximation

Amount of data=  $(768 + 1024 + 3\chi) \times \chi$ 

### Image compression: color image1

### ~10% Compression



### Original

Data: 2,359,296





SVD  $\chi = 50$ 268,950

HOSVD  $\chi' = 100$ **209,200** 

### Image compression: color image2

### ~10% Compression



# Original







SVD  $\chi = 50$ **268,950** 

 $\begin{array}{l} \mathsf{HOSVD} \\ \chi' = 100 \\ \textbf{209,200} \end{array}$ 

### Image compression: multi images





Images were taken from ORL Database of Faces, AT&T Laboratories Cambridge



### Image compression: multi images



# SVD of wave function?

Wave function:  $|\Psi\rangle = \sum_{\{m_i=0,1\}} T_{m_1,m_2,\cdots,m_N} |m_1,m_2,\cdots,m_N\rangle$  $T_{m_1,m_2,\cdots,m_N}$  :N-leg tensor (or Vector)



We can consider it as a matrix by making two groups:

$$T_{\{m_1, m_2, \cdots, m_M\}, \{m_{M+1}, \cdots, m_N\}}$$

### References:

- 齋藤正彦、「線形代数入門」東京大学出版会
- ・太田快人、「システム制御のための数学(1) ー線形代数編ー」、コロナ社
- T. G. Kolda et al, SIAM Review **51**, 455 (2006).

1日目	1.現代物理学における巨大なデータと情報圧縮
	2.格子スピン模型の統計力学
	3.線形代数の復習
2日目	4.特異値分解と低ランク近似
	5.テンソルネットワーク繰り込みによる情報圧縮
	6.情報のエンタングルメントと行列積表現
3日目	7.行列積表現の固有値問題への応用
	8.テンソルネットワーク表現への発展
Optional	9.フラストレート磁性体への応用

テンソルネットワーク繰り込みによる情報圧縮 (古典スピン模型の実空間繰り込み群)

# Outline

- Tensor network representation of a scalar
  - Partition functions in statistical mechanics
- Tensor network renormalization
  - Tensor Renormalization Group (TRG) in two dimension
  - Generalization to higher dimensions
- Tensor network renormalization around critical point
  - Fixed point of TRG: Corner double line tensors
- Report problems

Tensor network representation of a scalar



統計力学の処方箋:

分配関数を計算したい  $Z = \sum_{\{S_i = \pm 1\}} e^{\beta J \sum_{\langle i,j \rangle} S_i S_j} \qquad \mathcal{H} = -J \sum_{\langle i,j \rangle} S_i S_j$ 

しかし、和
$$\sum_{\{S_i=\pm1\}}$$
は、スピンがN個の時、 $2^N$ 

で指数的に大きい!(N=100でも、10<sup>30</sup>の項がある!)



転送行列

分配関数

例:1次元イジング模型  $\mathcal{H} = -J \sum_{i=1}^{L-1} S_i S_{i+1}$  $S_1$  $S_i = 1, -1$ 

 $Z = \sum e^{\beta J \sum_{i} S_i S_{i+1}}$ 

 $= \sum \prod^{L-1} e^{\beta J S_i S_{i+1}}$ 

 $= \sum (T^{L-1})_{S_1,S_L}$ 

 $\{S_i = \pm 1\}$ 

 $\{S_i = \pm 1\}\ i = 1$ 

 $S_1 = \pm 1, S_L = \pm 1$ 

$$S_1 S_2 S_i S_{i+1} S_{L-1} S_L$$

$$1 = -1 = \downarrow$$
  
転送行列 +1 -1
$$T = \begin{pmatrix} e^{\beta J} & e^{-\beta J} \\ e^{-\beta J} & e^{\beta J} \end{pmatrix} +1$$

$$T = \begin{pmatrix} e^{\beta J} & e^{-\beta J} \\ e^{-\beta J} & e^{\beta J} \end{pmatrix} -1$$

$$T_{S_i,S_{i+1}} = e^{\beta J S_i S_{i+1}}$$

分配関数は転送行列の積でかける

転送行列の対角化

転送行列

$$T = \begin{pmatrix} e^{\beta J} & e^{-\beta J} \\ e^{-\beta J} & e^{\beta J} \end{pmatrix}$$
 転送行列は実対称行列  
固有値は実で、直行行列で対角化可能

$$T = P^{t} \begin{pmatrix} \lambda_{+} & 0 \\ 0 & \lambda_{-} \end{pmatrix} P \qquad \begin{array}{l} \lambda_{+} = 2 \cosh \beta J \\ \lambda_{-} = 2 \sinh \beta J \end{array} \qquad |\lambda_{+}| > |\lambda_{-}| \\ P^{t}P = PP^{t} = I \end{array}$$

分配関数

$$Z = \sum_{S_1 = \pm 1, S_L = \pm 1} \left[ P^t \begin{pmatrix} \lambda_+^{L-1} & 0 \\ 0 & \lambda_-^{L-1} \end{pmatrix} P \right]_{S_1, S_L}$$





L×Mの2次元系

M個のスピンを1セットで 考えると1次元系と同等

転送行列の大きさ



1次元系:2×2

LxMの2次元系:2<sup>M</sup>×2<sup>M</sup> (or 2<sup>L</sup>×2<sup>L</sup>)

2次元以上では転送行列が系サイズに関して指数的に大!

厳密な計算はすぐに破綻する
 2次元イジング模型だったら、M=40程度が限界
 (疎行列の対角化問題)
 転送行列の積を近似的に計算

# 転送行列表現の拡張



各辺のボルツマン重みの積:4階の"テンソル"

$$A_{S_1,S_2,S_3,S_4} = e^{\beta J(S_1S_2 + S_2S_3 + S_3S_4 + S_4S_1)}$$

$$A = e^{\beta J(S_1S_2 + S_2S_3 + S_3S_4 + S_4S_1)}$$

$$A = e^{\beta J(S_1S_2 + S_2S_3 + S_3S_4 + S_4S_1)}$$

分配関数=テンソルの"掛け算"

$$Z = \sum_{\{S_i = \pm 1\}} A_{S_1, S_2, S_3, S_4} A_{S_2, S_5, S_6, S_7} \cdots A_{S_i, S_j, S_k, S_l} \cdots$$

# ダイアグラムを用いたテンソル表記

- ・ベクトル  $\vec{v}:v_i$
- 行列  $M: M_{i,j}$
- ・テンソル  $T:T_{i,j,k}$
- テンソルの積(縮約)の表現

$$C_{i,j} = (AB)_{i,j} = \sum_{k} A_{i,k} B_{k,j}$$

$$\sum_{\alpha,\beta,\gamma} A_{i,j,\alpha,\beta} B_{\beta,\gamma} C_{\gamma,k,\alpha}$$



\*n階のテンソル=n本の足





例:1次元イジング模型





\*周期境界条件の場合



# 分配関数のテンソルネットワーク表現



正方格子イジング模型→45度傾いた正方格子ネットワーク

# (Real space) Renormalization group (補足資料)

### Example: Ising model

Ising model

$$\mathcal{H} = -J \sum_{\langle i,j \rangle} S_i S_j - h \sum_i S_i S_i = \pm 1 = \uparrow, \downarrow)$$
  
Canonical ensemble:  $P(\Gamma; T) = \frac{1}{Z} \exp\left(-\frac{1}{k_B T} \mathcal{H}(\Gamma)\right)$ 

Magnetization at T:  $M(T) = \left\langle \sum_{i} S_{i} \right\rangle_{T}$   $= \sum_{\Gamma} \sum_{i} S_{i} P(\Gamma; T)$  M/N M/N M/N M/N  $T_{c}$   $T_{c}$  T
# Coarse graining (粗視化)

# Block spin transformation $\uparrow$ :1 $\downarrow$ :-1

(ブロックスピン変換)







"Length scale" changes

2×2 system

6×6 system coarse grained spin







# Example of block spin transformation

Figure taken from a book "Scaling and Renormalization in Statistical Physics", John Cardy



• At the critical point, the block spin transformation does not change" image" qualitatively.



"Scale invariance"

At T > Tc, the block spin transformation changes typical "cluster size"

#### Partition function after coarse graining

Partition function after a block spin transformation:

(for simplicity, we set  $J/k_BT = K$ )



(d-dimensional system with length L) (d-dimensional system with length L/b)

By block spin transformation, the partition function is represented by smaller # of spins with a modified Hamiltonian

Information compression by "tracing out" short range fluctuations

#### Coarse grained Hamiltonian

Partition function after a block spin transformation:

$$e^{-\mathcal{H}'(\{S'_i\})} = \sum_{\{S_i\} \in \{S'_i\}} e^{K \sum_{\langle i,j \rangle} S_i S_j}$$

$$\begin{cases} S_i \in \{S'_i\} \\ \text{orresponds to } \{S'\} \end{cases}$$

Suppose H' has the same form with the original Hamiltonian, which characterized only one parameter K:

$$\mathcal{H}' = K' \sum_{\langle i,j \rangle} S'_i S'_j$$

By repeating the procedure, we can draw a flow of "K"

$$K \to K' \to K'' \to \cdots \to K^{\infty}$$

"renormalization group"  $K' = \mathcal{R}_b(K)$  (繰り込み群)

R<sub>b</sub> : transformation with scale b

#### Renormalization flow

Renormalization group:  $K' = \mathcal{R}_b(K)$ 

Fixed point(固定点): 
$$K^* = \mathcal{R}_b(K^*)$$

Unchanged under renormalization

Typically, we have three fixed points for a phase transition:

Corresponding T=0, T= $\infty$ , and T=Tc



#### General case

$$e^{-\mathcal{H}'(\{S_i'\})} = \sum_{\{S_i\} \in \{S_i'\}} e^{K \sum_{\langle i,j \rangle} S_i S_j}$$

In general, H' contains many body interaction such as S<sub>i</sub>S<sub>j</sub>S<sub>k</sub>S<sub>l</sub>.

We need more than one parameter:  $\{K_1, K_2, \dots\}$ 

Renormalization group: 
$$\vec{K}' = \mathcal{R}_b(\vec{K})$$

RG characterize a flow in parameter space



#### Critical exponents and eigenvalues



"Irrelevant"  $|y_i| < 1 \rightarrow \delta \vec{K}_i$  decreases along renormalization

Critical exponents are related to relevant eigenvalues!

Tensor network renormalization

#### Tensor network renormalization (テンソルネットワーク繰り込み)

- Approximate calculation of a tensor network contraction by using "coarse graining" (粗視化) of the network
  - Coarse graining  $\longleftrightarrow$  Real space renormalization
  - ・ (粗視化) ↔ (実空間繰り込み)
- It can be applicable to (basically) any lattices, and the idea (algorithm) is independent on "models" represented by tensor networks.
  - Potential application to wide range of the science.

#### Outline of tensor network renormalization



#### Key technique: low rank approximation by SVD

Best low-rank approximation of a matrix = SVD

-A = -U

 $A: M \times N$   $(M \leq N)$ 

-U  $-\Lambda$   $-V^{\dagger}$  -

 $\Lambda: M \times M$ (Diagonal matrix)  $U, V: (M,N) \times M$ 

 $-\tilde{U} - \tilde{\Lambda} - \tilde{V}^{\dagger} - \tilde{\Lambda} : R \times R$ 

(Keeping the *R* largest singular values)  $\tilde{U}, \tilde{V}$ :(M,N)×*R* 

In addition,

$$= -\tilde{U} - \sqrt{\tilde{\Lambda}} - \sqrt{\tilde{\Lambda}} - \tilde{V}^{\dagger} - = -X - Y - Y$$

$$\sqrt{\tilde{\Lambda}} : \text{Diagonal matrix} \qquad X = \tilde{U}\sqrt{\tilde{\Lambda}} : M \times R$$

$$\text{those elements are } \sqrt{\lambda} \qquad Y = \sqrt{\tilde{\Lambda}}\tilde{V}^{\dagger} : R \times M$$

By SVD, we can decompose a matrix into a product of "small" matrices.

# Recipe of Tensor Renormalization Group (TRG)

M. Levin and C. P. Nave, Phys. Rev. Lett. **99**, 120601 (2007) Z.-C. Gu, M. Levin and X.-G. Wen, Phys. Rev. B **78**, 205116 (2008)



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Calculation cost:  $SVD = O(D^6)$  (per tensor) Contraction =  $O(D^6)$ 

\*By one TRG step, # of tensors is reduced by 1/2.

We can calculate the contraction in polynomial cost!

#### Tensor renormalization group for higher dimensions

Simple generalization of TRG to cubic lattice (three dimension)



# Tensor renormalization group by using HOSVD

Anisotropic coarse graining by using HOSVD instead of SVD



#### (Reference of HOSVD)

# Tucker decomposition: generalization of SVD



#### (Reference of HOSVD)

# Higher order SVD (HOSVD)

L. De Lathauwer et al, SIAM J. Matrix Anal. & Appl., 21, 1253 (2000)

Define a factor matrix from matrix SVD:



Core tensor is calculated as

$$S_{i'j'k'l'} \equiv \sum_{ijkl} T_{ijkl} (U^{(1)})_{i'i}^{\dagger} (U^{(2)})_{j'j}^{\dagger} (U^{(3)})_{k'k}^{\dagger} (U^{(4)})_{l'l}^{\dagger}$$

Properties of the core tensor

Dot product

$$S_{:,i_n=\alpha,:,:}^* \cdot S_{:,i_n=\beta,:,:} = \begin{cases} 0 & (\alpha \neq \beta) \\ (\sigma_{\alpha}^{(n)})^2 & (\alpha = \beta) \end{cases} \qquad A \cdot B \equiv \sum_{i,j,k,l} A_{ijkl} B_{ijkl}$$

Generalization of the diagonal matrix  $\Sigma$  in matrix SVD.

\* Low-rank approximation based on HOSVD is not optimal.

# Power of the HOTRG

Advantage:

- HOTRG does not change the network structure.
  - We can easily generalize it to higher dimensions.
- Low-rank approximation is based on the cluster of two tensors.
  - At the approximation, we take into account more information.
  - More efficient than TRG where SVD is done for a single tensor.

Disadvantage:

- HOTRG needs higher cost than TRG.
  - $O(D^7)$  in HOTRG  $\leftrightarrow O(D^6)$  in TRG

#### Application to a classical partition function



We can easily calculate physical quantities from Z.

Free energy: 
$$F = -k_B T \ln Z$$

Energy: 
$$E = -\frac{\partial \ln Z}{\partial \beta}$$

(Use difference approximation)

Specific heat:  $C = \frac{1}{k_B T^2} \frac{\partial^2 \ln Z}{\partial \beta^2}$ 

(Use difference approximation)

# Ising model in infinite size $\mathcal{H} = -J \sum_{\langle i,j \rangle} S_i S_j$

#### Z. Y. Xie et al, Phys. Rev. B 86, 045139 (2012)



$$T_c/J = \frac{2}{\ln(1+\sqrt{2})} \simeq 2.269$$

Example of calculation

Tensor network renormalization at the critical point — When the accuracy of TRG becomes worse? (少し高度な内容)

#### Correlation (entanglement) within a tensor



New rule for representation of the correlation:

 $i - j = \delta_{ij}$ 

(+ we neglect eigenvalues in the graph.)

#### Fixed point of TRG: Corner Double Line tensor (固定点)



(Original rank = D)

# Fixed point of TRG: Corner Double Line tensor

Contraction of four tensors in TRG:



CDL tensor is a fixed point of TRG (and also HOTRG).

CDL tensor remains as CDL tensor along TRG.

# Problems in TRG: accumulation of correlations

#### Correlation in several scales

#### Correlations remains after TRG.



TRG :

Correlations in all scales remain.

#### Problem in TRG: increase of truncation error



G. Evenbly and G. Vidal Phys. Rev. Lett. **115**, 180405 (2015)

In TRG, the width of the singular value distribution increases along renormalization.



Increase of truncation error (decrease of accuracy)

# Improvement of TRG : Entanglement Filtering

#### Try to remove CDL structure at renormalization steps.

Z.-C. Gu and X.-G Wen, Phys. Rev. B 80, 155131 (2009)

Idea:



Insert this "approximation" into the TRG algorithm.

# **Tensor Entanglement Filtering Renormalization**

Z.-C. Gu and X.-G Wen, Phys. Rev. B 80, 155131 (2009)



#### Remaining problem in TEFR

- TEFR works well far from the critical point.
  - Because it can remove CDL structure.
- In the vicinity of the critical point, the accuracy is still poor.
  - Because the actual entanglement is not necessarily perfect CDL structure.
- In order to improve further, we need to consider the entanglement structure beyond CDL tensor.

# Recent progress: Tensor Network Renormalization



#### Power of TNR

•

G. Evenbly and G. Vidal, Phys. Rev. Lett. **115**, 180405 (2015) ,arXiv: 1412.0732v2 (free energy).



In TNR: • The singular value distribution is narrower than that of TRG.

- It is almost unchanged at Tc.
  - Indicating scale invariance of the critical system.

# Interesting topics in tensor network renormalization

- Try to find efficient algorithm to remove "short range" entanglement
  - TNR, Loop-TNR, GILT, Gauge fixing

TNR: G. Evenbly and G. Vidal, Phys. Rev. Lett. 115, 180405 (2015)
Loop-TNR: S. Yang, Z.-C. Gu and , X.-G. Wen, Phys. Rev. Lett. 118, 110504 (2017)
GILT: M. Hauru, C. Delcamp. S. Mizera Phys. Rev. B 97, 045111 (2018)
Gauge fixing: G. Evenbly, Phys. Rev. B 98, 085155 (2018)

- Application to lattice QCD
  - TRG with Grassmann algebra Z.-C. Gu, F. Verstraete, and X.-G. Wen, arXiv:1004.2563 S. Takeda, and Y. Yoshimura PTEP **2015**, 043B1 (2015).
- Property at the criticality
  - Relation between TNR and MERA
  - Relation to Conformal invariance

G. Evenbly and G. Vidal, Phys. Rev. Lett. 115, 200401 (2015)

G. Evenbly, Phys. Rev. B 95, 045117 (2017)

1日目	1.現代物理学における巨大なデータと情報圧縮 2.格子スピン模型の統計力学 3.線形代数の復習
2日目	4.特異値分解と低ランク近似 5.テンソルネットワーク繰り込みによる情報圧縮 6.情報のエンタングルメントと行列積表現
3日目	7.行列積表現の固有値問題への応用 8.テンソルネットワーク表現への発展
Optional	9.フラストレート磁性体への応用

# 情報のエンタングルメントと行列積表現

# Outline

- Outline of tensor network decomposition
- Entanglement
  - Schmidt decomposition
  - Entanglement entropy and its area law
- Matrix product states
  - Matrix product states (MPS)
  - Canonical form
  - infinite MPS
#### Outline of tensor network decomposition

# Classification of Information Compression by Memory Costs

Linear algebra for huge data:  $\vec{v} \in \mathbb{C}^M$ 

- (1) A matrix can be stored Required memory~ $O(M^2)$
- (2) Although a matrix cannot be stored, vectors can be stored Required memory~ ${\cal O}(M)$
- (3) A vector cannot be stored

Required memory  $\ll O(M)$ 

We try to approximate a vector in a compact form.

 $M \sim a^N$   $\longrightarrow$  Memory  $\sim O(N^x)$ Exponential Polynomial

N:problem size (e.g. system size)

## When we efficiently compress a vector?

$$\vec{v} = \sum_{i=1}^{M} C_i \vec{e_i} \qquad \vec{v} \in \mathbb{C}^M$$

If we can find a basis where the coefficients have a structure (correlation).

(1) Almost all  $C_i$  are zero (or very small).

We store only a few finite elements  $\{(i, C_i)\}$ 

E.g.

Fourier transformation 
$$\vec{v} = \sum_{k=1}^{M} D_k \vec{f_k}$$

If we can neglect larger wave numbers, we can efficiently approximate the vector with smaller number of coefficients.

Classical state 
$$|\Psi\rangle = |01011\dots00\rangle$$

In this case, we know that only a specific  $C_i$  is non-zero. We need only an integer corresponding to the non-zero element.

## When we efficiently compress a vector?

$$\vec{v} = \sum_{i=1}^{M} C_i \vec{e_i} \qquad \vec{v} \in \mathbb{C}^M$$

(2) All of  $C_i$  are not necessarily independent.

We store "structure" and "independent elements".  $\{(i, C_i)\}$ 

E.g. Product state ("generalized" classical state)

A vector is decomposed into product of small vectors.

$$|\Psi\rangle = |\phi_1\rangle \otimes |\phi_2\rangle \otimes \cdots$$
 e.g.  
 $|\phi_1\rangle = \alpha |0\rangle + \beta |1\rangle$   
 $|\phi_1\rangle = |01\rangle - |10\rangle$ 

structure: "product state"

independent elements: small vectors

## Tensor network decomposition of a vector

Target:

Exponentially large Hilbert space 
$$\vec{v} \in \mathbb{C}^M$$
 with  $M \sim a^N$ 

+

Total Hilbert space is decomposed as a product of "local" Hilbert space.

 $\mathbb{C}^M = \mathbb{C}^a \otimes \mathbb{C}^a \otimes \cdots \mathbb{C}^a$ 

**Tensor network decomposition** 

$$v_i = v_{i_1, i_2, \dots, i_N} = \sum_{\{x\}} T^{(1)}[i_1]_{x_1, x_2, \dots} T^{(2)}[i_2]_{x_1, x_3, \dots} \cdots T^{(N)}[i_N]_{x_3, x_{100}, \dots}$$

 $i_n = 0, 1, \dots, a-1$ : index of local Hilbert space

 $T[i]_{x_1,x_2,\ldots}$  : local tensor for "state" i

## Graphical representations for tensor network

- Vector  $\vec{v}: v_i$
- Matrix  $M: M_{i,j}$
- Tensor  $T : T_{i,j,k}$



\* n-rank tensor = n-leg object

When indices are not presented in a graph, it represent a tensor itself.

$$\vec{v} =$$
  $T =$   $-$ 

# Graphical representations for tensor network

 $\frac{i}{2} = \frac{i}{4} \frac{k}{3} \frac{j}{3}$ 

B

#### Matrix product

$$C_{i,j} = (AB)_{i,j} = \sum_{k} A_{i,k} B_{k,j}$$

$$C = AB$$

**Generalization to tensors** 

$$\sum_{\alpha,\beta,\gamma} A_{i,j,\alpha,\beta} B_{\beta,\gamma} C_{\gamma,k,\alpha}$$

Contraction of a network = Calculation of a lot of multiplications (縮約)

B

# Graph for a tensor network decomposition



# Entanglement (エンタングルメント)

## N-qubit system (S=1/2 quantum spin system)

Example vector: Wave function of N-qubit systems



Coefficients = vector:  $\vec{\Psi} \in \mathbb{C}^{2^N}$ 

\* Inner product:  $\langle \Phi | \Psi \rangle = \vec{\Phi}^* \cdot \vec{\Psi}$ 

## Schmidt decomposition

General vector:  $\vec{x} \in V_1 \otimes V_2$  dim  $V_1 = n_1, \dim V_2 = n_2$  $(n_1 \ge n_2)$ 

Schmidt decomposition

$$\vec{x} = \sum_{i=1}^{n_2} \lambda_i \vec{u}_i \otimes \vec{v}_i$$
Orthonormal vectors
$$\begin{cases} \vec{u}_1, \vec{u}_2, \dots \vec{u}_{n_1} \} \in V_1 \\\\ \{ \vec{v}_1, \vec{v}_2, \dots \vec{v}_{n_2} \} \in V_2 \end{cases}$$
Schmidt coefficient
$$\lambda_i \ge 0$$

#### Schmidt decomposition is unique.

# Schmidt decomposition for wave function

Wave function:  $|\Psi\rangle = \sum_{\{i_1, i_2, \dots, i_N\}} \Psi_{i_1 i_2 \dots i_N} |i_1 i_2 \dots i_N\rangle$ 

#### Schmidt decomposition

Divide system into two parts, A and B:



General wave function can be represented by a superposition of orthonormal basis set.

$$\begin{split} |\Psi\rangle &= \sum_{i,j} M_{i,j} |A_i\rangle \otimes |B_j\rangle = \sum_i \lambda_i |\alpha_i\rangle \otimes |\beta_i\rangle \\ \hline M_{i,j} &\equiv \Psi_{(i_1,\dots),(\dots,i_N)} \quad |A_i\rangle = |i_1, i_2, \dots\rangle \\ \hline \mathsf{A} \quad \mathsf{B} \quad |B_j\rangle = |\dots, i_{N-1}, i_N\rangle \end{split}$$



В

А

Orthonormal basis:  $\langle \alpha_i | \alpha_j \rangle = \langle \beta_i | \beta_j \rangle = \delta_{i,j}$ Schmidt coefficient:  $\lambda_i \ge 0$ 

#### Partial trace and reduced density matrix

For  $\vec{x} \in V_1 \otimes V_2$  dim  $V_1 = n_1$ , dim  $V_2 = n_2$   $|\vec{x}| = 1$ 

**Density matrix:**  $\rho \equiv \vec{x}\vec{x}^{\dagger}$   $(\rho_{ij} = x_i x_j^{\ast})$ (密度行列)  $(\rho = |x\rangle\langle x|)$  \*Note: rank  $\rho = 1$ Orthonormal basis:  $\{\vec{e}_1, \vec{e}_2, \dots, \vec{e}_{n_1}\} \in V_1$   $\{\vec{f}_1, \vec{f}_2, \dots, \vec{f}_{n_2}\} \in V_2$ Basis for  $\vec{x}$  :  $\vec{g}_{i_1, i_2} = \vec{e}_{i_1} \otimes \vec{f}_{i_2}$ Index:  $i = (i_1, i_2)$ 

#### **Reduced Density matrix:**

(縮約密度行列)  $\rho_{V_1} \equiv \text{Tr}_{V_2} \ \rho$  : a positive-semidefinite square matrix in  $V_1$ 

$$(\rho_{V_1})_{i_1,j_1} = \sum_{i_2} \rho_{(i_1,i_2),(j_1,i_2)} \qquad V_1 \qquad V_2$$

#### Relation between SVD and Schmidt decomposition

Singular value decomposition (SVD):

For a  $K \times L$  matrix M,

$$M_{i,j} = \sum_{m} U_{i,m} \lambda_m V_{m,j}^{\dagger}$$

Singular values: 
$$\lambda_m \ge 0$$
  
 $\sum_{i} U_{i,m} U_{m,j}^{\dagger} = \delta_i, j$   
Singular vectors:  $\sum_{i}^{i} V_{i,m} V_{m,j}^{\dagger} = \delta_i, j$ 

Ĵ

Relation to the Schmidt decomposition:

By using SVD, we can perform Schmidt decomposition. (and can calculate entanglement entropy.)

# Entanglement entropy

#### Entanglement entropy:

В А Reduced density matrix of a sub system (sub space):  $\rho_A = \mathrm{Tr}_B |\Psi\rangle \langle \Psi|$ Entanglement entropy = von Neumann entropy of  $\rho_A$  $S = -\text{Tr}\left(\rho_A \log \rho_A\right)$ Schmidt decomposition  $|\Psi\rangle = \sum_{i} \lambda_i |\alpha_i\rangle \otimes |\beta_i\rangle$ В  $\rho_A = \sum_i \lambda_i^2 |\alpha_i\rangle \langle \alpha_i| \qquad (*Exercise)$ А  $S = -\sum_{i} \lambda_i^2 \log \lambda_i^2$ 

Entanglement entropy is calculated through the spectrum of Schmidt coefficients

#### Intuition for EE: two s=1/2 spins



#### Large entanglement entropy ~ Large correlation between two parts

# Area law of the entanglement entropy in physics

General wave functions:

EE is proportional to its volume (# of qubits).

 $S = -\mathrm{Tr}\left(\rho_A \log \rho_A\right) \propto L^d$ 

(c.f. random vector)

Ground state wave functions:

For a lot of ground states, EE is proportional to its area.

J. Eisert, M. Cramer, and M. B. Plenio, Rev. Mod. Phys, 277, 82 (2010)

$$S = -\mathrm{Tr}\left(\rho_A \log \rho_A\right) \propto L^{d-1}$$

In the case of one-dimensional system:

Gapped ground state for local Hamiltonian

M.B. Hastings, J. Stat. Mech.: Theory Exp. P08024 (2007)

S = O(1)

Ground state are in a small part of the huge Hilbert space





# Exercise: examples of Schmidt decomposition

1-1: Random wave function (Sample code: Ex1-1.py or Ex1-1.ipynb)

- Make a random vector
- SVD it and see singular value spectrum and EE

1-2: Ground state of S=1 Heisenberg chain (Sample code: Ex1-2.py or Ex1-2.ipynb)

$$\mathcal{H} = \sum_{i} \vec{S}_{i} \cdot \vec{S}_{i+1}$$

- Calculate GS by diagonalizing Hamiltonian
- SVD it and see singular value spectrum and EE

\*Note: the ground state of this model is gapped

show help: *python Ex1-2.py -h* 

\* Try to simulate different system size "N"\* You can simulate other S by changing "m"

#### Result: N=10 spectrum



Ground state wave function has lower entanglement!

# Matrix product states (行列積状態)

# Data compression of wave functions (vectors)

General wave function:

$$|\Psi\rangle = \sum_{\{i_1, i_2, \dots, i_N\}} \Psi_{i_1 i_2 \dots i_N} |i_1 i_2 \dots i_N\rangle$$

Coefficient vector can represent any points in the Hilbert space.

Ground states satisfy the area law.

In order to represent the ground state accurately, we might not need all of a<sup>N</sup> elements.

Data compression by tensor decomposition:

**Tensor network states** 

#### Hilbert space



#### Tensor network state



# Examples of TNS



#### Good reviews:

# Matrix product state (MPS)

(U. Schollwöck, Annals. of Physics **326**, 96 (2011)) (R. Orús, Annals. of Physics **349**, 117 (2014))

$$\begin{split} |\Psi\rangle &= \sum_{\{i_1, i_2, \dots, i_N\}} \Psi_{i_1 i_2 \dots, i_N} |i_1 i_2 \dots, i_N\rangle & \text{MPS} \\ \Psi_{i_1 i_2 \dots, i_N} &\simeq A_1[i_1] A_2[i_2] \dots A_N[i_N] & \Psi &\simeq \Psi & \Psi & \Psi \\ & A[i] \colon \text{Matrix for state } i & I & I & I & I \\ \end{split}$$

#### Note:

- MPS is called as "tensor train decomposition" in applied mathematics (I. V. Oseledets, SIAM J. Sci. Comput. **33**, 2295 (2011))
- A product state is represented by MPS with 1×1 "Matrix" (scalar)

# Matrix product state without approximation

General wave function (or vector) can be represented by MPS exactly through successive Schmidt decompositions





(column

In this construction, the sizes of matrices depend on the position.

Maximum bond dimension =  $a^{N/2}$ 

At this stage, no data compression.

## Matrix product state: Low rank approximation



If the entanglement entropy of the system is O(1) (independent of N), matrix size " $\chi$ " can be small for accurate approximation.

MPS is good for gapped 1d systems.

On the other hand, if the EE increases as increase N, " $\chi$ " must be increased to keep the same accuracy.

# Upper bound of Entanglement entropy



# Required bond dimension in MPS representation

 $S_A = -\text{Tr } \rho_A \log \rho_A \le \log \chi$ 



The upper bound is independent of the "length".

length of MPS  $\Leftrightarrow$  size of the problem  $a^N$ 

	EE of the original vector	Required bond dimension in MPS representation
	$S_A = O(1)$	$\chi = O(1)$
	$S_A = O(\log N)$	$\chi = O(N^{\alpha})$
	$S_A = O(N^{\alpha})$	$\chi = O(c^{N^{\alpha}})$

# Gauge redundancy of MPS

MPS is not unique: gauge degree of freedom  $I = GG^{-1}$ We can insert a pair of matrices GG<sup>-1</sup> to MPS



#### Gauge fix: Canonical form of MPS Ref. U. Schollwöck, Annals. of Physics 326, 96 (2011) Canonical form of MPS: (Vidal canonical form) (G. Vidal, Phys. Rev. Lett. 91, 147902 (2003) $\lambda$ :Diagonal matrix corresponding $T_1$ $T_2$ $T_3$ $\lambda_1$ $\lambda_2$ $\lambda_3$ to Schmidt coefficient T:Virtual indices corresponding to Schmidt basis Left canonical condition: Right canonical condition: (Boundary) $|\Psi\rangle, T$ $|\Psi\rangle, T$ = $,T^{*}$

# Canonical forms: Left and Right canonical forms

Ref. U. Schollwöck, Annals. of Physics 326, 96 (2011)

Other "canonical" forms of MPS

Left canonical form:





Satisfies (at least) left or canonical condition:



# Canonical forms: Mixed canonical forms

Ref. U. Schollwöck, Annals. of Physics 326, 96 (2011)

Mixed canonical form:





Left canonical condition: Right canonical condition:



# Canonical forms: Note

- Vidal canonical form is unique, up to trivial unitary transformation to virtual indices which keep the same diagonal matrix structure (Schmidt coefficients).
- Left, right and mixed canonical form is "not unique". Under general unitary transformation to virtual indices, it remains to satisfy the canonical condition  $QQ^{\dagger} = Q^{\dagger}Q = I$



# MPS for infinite chains

By using MPS, we can write the wave function of a translationally invariant **infinite chain** 



Infinite MPS (iMPS) is made by repeating T and  $\lambda$  infinitely.

Translationally invariant system

T and  $\lambda$  are independent of positions!

\* Infinite MPS can be accurate when the EE satisfies the 1d area low (S~O(1)).

If the EE increases as increase the system size, we may need infinitely large  $\chi$  for infinite system.

#### Calculation of expectation value



For iMPS, if it is in the (Vidal) canonical form, the final graph is identical to the above finite system.

## Example of iMPS: AKLT state

S=1 Affleck-Kennedy-Lieb-Tasaki (AKLT) Hamiltonian:

$$\mathcal{H} = J \sum_{\langle i,j \rangle} \vec{S}_i \cdot \vec{S}_j + \frac{J}{3} \sum_{\langle i,j \rangle} \left( \vec{S}_i \cdot \vec{S}_j \right)^2$$
$$(J > 0)$$

The ground state of AKLT model:

 $\chi = 2$  iMPS: (U. Schollwock, Annals. of Physics 326, 96 (2011))

$$T[S_z = 1] = \sqrt{\frac{4}{3}} \begin{pmatrix} 0 & 1\\ 0 & 0 \end{pmatrix}$$
$$T[S_z = 0] = \sqrt{\frac{2}{3}} \begin{pmatrix} -1 & 0\\ 0 & 1 \end{pmatrix} \quad , \lambda = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 0\\ 0 & 1 \end{pmatrix}$$
$$T[S_z = -1] = \sqrt{\frac{4}{3}} \begin{pmatrix} 0 & 0\\ -1 & 0 \end{pmatrix}$$

Spin singlet

T

T

 $\lambda$ 

S=1 spin:

 $\lambda$ 

T

T

S=1/2 spin

 $\lambda$ 


#### Exercise 2: Make MPS and approximate it

#### 2-1: Make exact MPS from GS wave function obtained by ED

(We can easily check that the MPS obtained by successive SVD satisfy the canonical condition.)

Sample code: Ex2-1.py or Ex2-1.ipynb

show help: *python Ex2-1.py -h* 

#### 2-2: Approximate the MPS by truncating singular values

- Calculate approximate GS energy and compare it with ED
- Change chi\_max and see energies

Sample code: Ex2-2.py or Ex2-1.ipynb

show help: *python Ex2-2.py -h* 

#### Requirement for running sample scripts

File: Exercise\_No10.zip Python environment: python2.7 or python3 Modules: numpy, scipy and matplotlib Usage: For jupyter notebook, type jupyter notebook and select Ex?-?.ipynb. For python (command line), type

python Ex?-?.py -h

, then you can know how to change the parameters.

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## 行列積表現の固有値問題への応用

# Outline

- Application to Eigenvalue problem (Ground state of quantum many-body systems)
  - Variational algorithm
- Application to time evolution of quantum system
  - TEBD algorithm
- Application to Machine learning

Application to eigenvalue problem

#### Calculation of minimum (or maximum) eigenvalue

Target vector space:

Exponentially large Hilbert space  $\vec{v} \in \mathbb{C}^M$  with  $M \sim a^N$ 

+

Total Hilbert space is decomposed as a product of "local" Hilbert space.

$$\mathbb{C}^M = \mathbb{C}^a \otimes \mathbb{C}^a \otimes \cdots \mathbb{C}^a$$

Target matrix:

 $\mathcal{H}$  :Hermitian, square, and sparse (Typically, only O(M) ( = $O(a^N)$  ) elements are finite.)

Notice:

We consider the situation where we cannot store O(M) variables in the memory.

#### Problem:

Find the smallest eigenvalue and its eigenvector

$$\mathcal{H}\vec{v}_0 = E_0\vec{v}_0$$



Variational calculation using MPS:

Cost function:  $F = \frac{\vec{\psi}^{\dagger}(\mathcal{H}\vec{\psi})}{\vec{\psi}^{\dagger}\vec{\psi}}$ 

Find the MPS which minimizes *F* by optimizing matrices in MPS.



#### Problem in graphical representation



## Iterative optimization

(F. Verstraete, D. Porras, and J. I. Cirac, Phys. Rev. Lett. 93, 227205 (2004))

Local optimization problem when we focus on a "site" i:



#### Iterative optimization

(F. Verstraete, D. Porras, and J. I. Cirac, Phys. Rev. Lett. 93, 227205 (2004))

Update  $A_i$ s by "sweeping" sites i = 1 to N

Backward "sweeping" sites i = N to 1

# 

Repeat sweeping until convergence.

#### Compact representation of an operator

#### Notice!

We can conduct this algorithm when we can represent the matrix efficiently.

We consider the situation where we cannot store the matrix in the memory.

$$\mathcal{H} \qquad a^N \times a^N$$

In practical applications, we usually represent the matrix in so called Matrix Product Operator (MPO) form.



E.g. The Hamiltonian of the Heisenberg model is represented by MPO with bond dimension  $\,\chi=5$  .

# Relation to Density Matrix Renormalization Group

The variational MPS method is essentially same with Density Matrix Renormalization Group (DMRG) algorithm. (密度行列繰り込み群) (S. R. White, Phys. Rev. Lett. 69, 286

DMRG selects compact basis based on entanglement between "System" and "Environment" blocks.

DMRG is a powerful tool in physics and chemistry

- One-dimensional spin systems
- One-dimensional electron systems
- Small molecules
- Small two-dimensional systems

The original DMRG did not use MPS explicitly. But, MPS gives us a theoretical background for why DMRG works well.

(S. R. White, Phys. Rev. Lett. **69**, 2863 (1992)) (U. Schollwöck, Rev. Mod. Phys. **77**, 259 (2005)) (U. Schollwöck, Annals, of Physics **326**, 96 (2011))





#### Relation to Density Matrix Renormalization Group

Conventional DMRG algorithm corresponds to variational calculation using open boundary MPS.

(F. Verstraete, D. Porras, and J. I. Cirac, Phys. Rev. Lett. 93, 227205 (2004))



Accuracy becomes worse if we consider systems with periodic boundary condition.



S=1/2 Heisenberg chain, (N=40)



If we use periodic MPS instead of open MPS, we can represent the ground state more efficiently. Application to time evolutions of quantum system

#### Time evolution of a quantum system

Schrödinger equation:  $i\hbar \frac{\partial}{\partial t} |\psi(t)\rangle = \mathcal{H} |\psi(t)\rangle$ 

Formal solution:

$$|\psi(t)\rangle = e^{-it\mathcal{H}/\hbar}|\psi(0)\rangle$$

Time evolution operator (時間発展演算子)

Time evolution using MPS:

- 1. Multiply the time evolution operator to a MPS.
- 2. Find an approximate MPS representation for it.



When the time step (*t*) is small, we can perform the above step efficiently.

# Time evolution of a quantum system using MPS

Target: (Basically) one-dimensional quantum system with short range interaction

Typical example: Chain of qbits or quantum spins

Transverse field Ising model  $\mathcal{H} = -\sum_{i=1}^{N} S_i^z S_{i+1}^z - h \sum_{i=1}^{N} S_i^x$ 

Heisenberg model

$$\mathcal{H} = \sum_{i=1}^{N-1} (S_i^x S_{i+1}^x + S_i^y S_{i+1}^y + S_i^z S_{i+1}^z) - h \sum_{i=1}^N S_i^z$$

**Typical situation**: Quantum quench

Initial state: Ground state of a Hamiltonian which well approximated by MPS

t > 0: Hamiltonian suddenly changes from the initial one.



For a "short" time interval, evolving state is approximated by MPS efficiently.

#### Suzuki-Trotter decomposition

Suzuki-Trotter decomposition: (M. Suzuki, Commun. Math. Phys. 51, 183 (1976)) Systematic approximation of exponential operator

 $e^{\tau(\mathcal{A}+\mathcal{B})} = e^{\tau\mathcal{A}}e^{\tau\mathcal{B}} + O(\tau^{2}) \quad \text{(1st order)}$   $(\mathcal{AB} \neq \mathcal{BA}) = e^{\tau/2\mathcal{A}}e^{\tau\mathcal{B}}e^{\tau/2\mathcal{A}} + O(\tau^{3}) \quad \text{(2nd order)}$   $= e^{\tau/2\mathcal{B}}e^{\tau\mathcal{A}}e^{\tau/2\mathcal{B}} + O(\tau^{3}) \quad \text{(2nd order)}$ If our Hamiltonian is represented as a sum of "local" operators,  $\mathcal{H} = \sum_{i} H_{i} \qquad \text{E.g. transverse field Ising model}$   $H_{i} = -S_{i}^{z}S_{i+1}^{z} - \frac{h}{2}(S_{i}^{x} + S_{i+1}^{x})$ 

Time evolution operator can be approximated as

$$e^{-it\mathcal{H}/\hbar} = \left(e^{-i\delta\mathcal{H}}\right)^M = \left(\prod_j e^{-i\delta H_j}\right)^M + O(\delta) \quad \begin{array}{l} \text{(1st order)}\\ \delta \equiv t/(M\hbar) \end{array}$$

Graphical representation of Suzuki-Trotter decomposition

Suppose the Hamiltonian can be decomposed into the sum of two-body local terms

$$\begin{aligned} \mathcal{H} &= \sum_{i} H_{i} = \sum_{i \in \text{even}} H_{i} + \sum_{i \in \text{odd}} H_{i} \\ &= \mathcal{F} + \mathcal{G} \end{aligned} \qquad [\mathcal{F}, \mathcal{G}] \neq 0 \end{aligned}$$

Suzuki-Trotter decomposition of time evolution operator



#### Multiplication of time evolution operator

If we have MPS representation of  $|\psi\rangle$ 

$$|\psi\rangle = \mathbf{P} \mathbf{P} \mathbf{P} \mathbf{P} \mathbf{P}$$

Multiplying the time evolution operator is represented as

$$e^{-i\delta H}|\psi\rangle = \underbrace{e^{-i\delta H}}_{e^{-i\delta H}} \simeq \underbrace{e^{-i\delta H}}_{e^{-i\delta H}} \underbrace{e^{-i\delta H}}_{e^{-i\delta H}}$$
If we can perform the transformation
$$\underbrace{e^{-i\delta H}}_{e^{-i\delta H}} \simeq \underbrace{e^{-i\delta H}}_{e^{-i\delta H}} \underbrace{e^{-i\delta H}}_{e^{-i\delta H}}$$
(Generally, all matrices change for better approximation)
We continue the time evolution repeatedly.

Notice: we want to keep the bond dimension  $\chi$  constant along time evolution.

# TEBD algorithm:

(G. Vidal, Phys. Rev. Lett. 91, 147902 (2003))

#### **Time Evolving Block Decimation (TEBD)**

We can perform the accurate transformation locally by using canonical MPS.



# TEBD algorithm:

(G. Vidal, Phys. Rev. Lett. 91, 147902 (2003))



## Why TEBD is accurate?

1. For accurate calculation, the canonical form is important.

If  $\lambda$  is equal to the Schmidt coefficient, it contains all information of the remaining part of the system.



Truncation based on local SVD can be globally optimal, even if we look at a part of the MPS.

2. If the operator is unitary, MPS keeps canonical form within truncation error

If we chose the initial MPS as the canonical form, TEBD algorithm almost keep it. (So, TEBD is almost "globally optimal")

# Extension to infinite sytem iTEBD:

Finite system: TEBD

Sequentially apply ITE operators

(G. Vidal, Phys. Rev. Lett. **98**, 070201 (2007)) (R. Orús and G. Vidal, Phys. Rev. B **78**, 155117 (2008))

 ${\cal O}(N)\,\,{\rm SVD}$  for each step

Infinite system: iTEBD

Due to the translational invariance, O(1) SVD for each step

#### \*Note

Because of SVD in iTEBD algorithm, we need at least two independent tensors even in translationally invariant system



# (i)TEBD can be used for eigenvalue problem

Method to optimize MPS for GS of a specific Hamiltonian

1. Variational optimization

Change matrix elements to reduce the energy:  $\min_{T,\lambda} \frac{\langle \Psi | \mathcal{H} | \Psi \rangle}{\langle \Psi | \Psi \rangle}$ 

2. Imaginary time evolution

Simulate imaginary time evolution:  $|\Psi_{\rm GS}\rangle \propto \lim_{\beta \to \infty} e^{-\beta \mathcal{H}} |\Psi_0\rangle$  (虚時間発展)

For a initial state  $\langle \Psi_{\rm GS} | \Psi_0 \rangle \neq 0$ 



By replacing the time evolution operator to the imaginary time evolution operator,

 $e^{-i\mathcal{H}t} \to e^{-\tau\mathcal{H}} \quad (t \to -i\tau)$ 

We can use (TEBD) algorithm for eigenvalue problem.

#### Difference between TE and ITE

- $e^{-i\mathcal{H}t}$  :Time evolution operator is unitary
- $e^{-\mathcal{H}\tau}$  : Imaginary time evolution operator is not unitary



In general, by multiplying imaginary time evolution operator to MPS, the canonical form is destroyed and TEBD becomes less accurate.

However, when  $\tau$  is small the operator is almost unitary.

(Because it is almost identity matrix)

If we chose the initial MPS as the canonical form, TEBD algorithm almost keep it. (So, TEBD is almost "globally optimal" even in the case of the imaginary time evolution.)

\*Instead, we can transform the MPS into the canonical form after multiplying ITE operator in every steps.

#### 3-1: Energy dynamics in TEBD



# Exercise 3: (TEBD and) iTEBD simulation (ITE)

#### 3-1: TEBD simulation

Simulate small finite size system and compare energy with ED Sample code: Ex3-1.py or Ex3-1.ipynb show help: python Ex3-1.py -h

3-2: iTEBD simulation

Simulate infinite system and calculate energy

Sample code: Ex3-2.py or Ex3-2.ipynb

show help: python Ex3-2.py -h

\* Try simulation with different "chi\_max", "T\_step"

#### Requirement for running sample scripts

Python environment: python2.7 or python3 Modules: numpy, scipy and matplotlib Usage:

For jupyter notebook, type

jupyter notebook

and select Ex?-?.ipynb.

For python (command line), type

python Ex?-?.py -h

, then you can know how to change the parameters.

#### Application to machine learning

E. Miles Stoudenmire and D. J. Schwab, NIPS 2016

## Machine learning for classification problem

Problem: we want to classify an input vector by several labels

E.g Classification of handwriting images

#### **Standard procedure:**

First, input vector  $\boldsymbol{x}$  is mapped onto higher dimensional space

 $ec{\psi}(m{x})$  (non-linear feature map)

Then it is transformed to labels through a linear map

 $f^l = W^l \vec{\psi}(\boldsymbol{x})$ 

In the case of supervised machine learning, we optimize W based on the correct labels of a lot of input vectors.

#### MPS representation of the classification problem

E. Miles Stoudenmire and D. J. Schwab, NIPS 2016 If we select a "product state" as a feature map

$$\psi_{i_1,i_2,...,i_N}(\boldsymbol{x}) = \phi_{i_1}(x_1) \otimes \phi_{i_2}(x_2) \otimes \cdots \otimes \phi_{i_N}(x_N)$$
  
$$\vec{\psi} = \underbrace{\bullet}_{\vec{\phi}(x_1)} \underbrace{\bullet}_{\vec{\phi}(x_N)} \underbrace{\bullet}_{\vec{\phi}(x_N)}$$
  
The dimension of vector space is  $a^N$   
Then we can apply MPS approximation for  $W$   
$$\vec{\psi} = \underbrace{\bullet}_{\vec{\psi}} \underbrace{\bullet}_$$

## MPS representation of the cla

Feature map

$$\Phi = \overset{s_1}{\overset{b}{\downarrow}} \overset{s_2}{\overset{b}{\downarrow}} \overset{s_3}{\overset{b}{\downarrow}} \overset{s_4}{\overset{b}{\downarrow}} \overset{s_5}{\overset{b}{\downarrow}} \overset{s_6}{\overset{b}{\downarrow}} \overset{s_6}{\overset{b}{\downarrow}}$$



For the case of grayscale image

Application to MNIST database of handwritten digits

(handwritten numbers from 0 to 9)

χ	Test set error	
10	~5%	500/10000
20	~2%	200/10000
120	~0.97%	97/10000

It is comparable with the state of the art! <1%

We can enjoy demo: http://itensor.org/miles/digit/index.html

#### References for application to machine learning

#### Low-Rank Tensor Networks for Dimensionality Reduction and Large-Scale Optimization Problems: Perspectives and Challenges PART 1

A. Cichocki, N. Lee, I.V. Oseledets, A.-H. Phan, Q. Zhao, D. Mandic

Foundations and Trends in Machine Learning, vol. 9, no. 4–5, pp. 249–429, 2016 (arXiv.1609.00893)

#### Tensor Networks for Dimensionality Reduction and Large-Scale Optimizations. Part 2 Applications and Future Perspectives

A. Cichocki, A-H. Phan, Q. Zhao, N. Lee, I.V. Oseledets, M. Sugiyama, D. Mandic

Foundations and Trends in Machine Learning: Vol. 9: No. 6, pp 431-673, 2017 (arXiv.1708.09165)

#### Topics:

- Supervised Learning with Tensors
- Tensor Train Networks for Selected Huge-Scale Optimization Problems
- Tensor Networks for Deep Learning

• ....

1日目	1.現代物理学における巨大なデータと情報圧縮 2.格子スピン模型の統計力学 3.線形代数の復習
2日目	4.特異値分解と低ランク近似 5.テンソルネットワーク繰り込みによる情報圧縮 6.情報のエンタングルメントと行列積表現
3日目	7.行列積表現の固有値問題への応用 8.テンソルネットワーク表現への発展
Optional	9.フラストレート磁性体への応用

# テンソルネットワーク表現への発展
## Breakdown of MPS representation

# Required bond dimension in MPS representation

 $S_A = -\mathrm{Tr} \ \rho_A \log \rho_A \le \log \chi$ 



The upper bound is independent of the "length".

length of MPS  $\Leftrightarrow$  size of the problem  $a^N$ 

	EE of the original vector	Required bond dimension in MPS representation
	$S_A = O(1)$	$\chi = O(1)$
	$S_A = O(\log N)$	$\chi = O(N^{\alpha})$
	$S_A = O(N^{\alpha})$	$\chi = O(c^{N^{\alpha}})$

## Phase transition

Transverse field Ising chain:

$$\mathcal{H} = -\sum_{i=1}^{N-1} S_i^z S_{i+1}^z - h \sum_{i=1}^N S_i^x$$

Ground state  $|\Psi\rangle$ 

- h = 0 :Ferromagnetic state
- $h \to \infty \ \text{:} \frac{\text{Disordered state}}{\text{(Field induced ferro)}}$

In between these two limits, there is a phase transition.

At the phase transition, order parameter becomes zero. (秩序変数)

(Spontaneous) Magnetization  $m_z = \frac{1}{N} \sum_i \langle \Psi | S_i^z | \Psi \rangle$ (自発磁化)



## Critical point and correlation length

 $h = h_c$ :Critical point (臨界点) Behavior of a correlation function:  $0 \leq h < h_c$  :Ferromagnetic state  $\langle \Psi | S_i^z S_{i+r}^z | \Psi \rangle \sim C e^{-\frac{r}{\xi}} + m_z^2$  $h_c < h$  :Disordered state  $\langle \Psi | S_i^z S_{i+r}^z | \Psi \rangle \sim e^{-\frac{r}{\xi}}$  $h = h_c$  :Critical point  $\langle \Psi | S_i^z S_{i+r}^z | \Psi \rangle \sim r^{-2p}$ 

Correlation length diverges at critical point!



 $\xi = \xi(h)$ :Correlation length (相関長)



## Scale invariance at the critical point

 $h = h_c$ :Critical point (臨界点)

$$C(r) \equiv \langle \Psi | S_i^z S_{i+r}^z | \Psi \rangle \sim r^{-2p}$$

Power low decay!

After a scale transformation r' = br

$$C(r') = C(br) = b^{-2p}C(r)$$

Change in the correlation function is only a constant factor.

If we scale spins as 
$$\ ilde{S}^z_i = b^p S^z_i$$

the correlation function becomes

$$\tilde{C}(r') \equiv \langle \Psi | \tilde{S}_i^z \tilde{S}_{i+r'}^z | \Psi \rangle = C(r)$$

This property is called as "scale invariance". (スケール不変性)

Physics (properties) in different scale is essentially same.

## DMRG (variational MPS) calculation of TFI model

Ö. Legeze, and G. Fáth, Phys. Rev. B 53, 14349 (1996)

Ferro

Disorder

 $h_c$ 

h

 $m_z$ 

Errors of the ground and the 1st excited states energies varying system size *N*.

For a fixed dimension m,

#### Ferro and disordered states:

The errors are almost independent of N.

#### **Critical point:**

The errors gradually increases as increase N.



## Entanglement entropy of TFI model

Entanglement entropy:

$$S_A = -\mathrm{Tr} \ \rho_A \log \rho_A$$



State	EE of the original vector	Required bond dimension
Ferro or Disordered	$S_A = O(1)$	$\chi = O(1)$
Critical	$S_A = O(\log N)$	$\chi = O(N^{\alpha})$

We need polynomially large bond dimension for critical system!

More efficient tensor network for critical systems?

Key point: Scale invariance of the system

## Higer dimensional system

Transverse field Ising model on square lattice:

$$\mathcal{H} = -\sum_{\langle i,j \rangle} S_i^z S_j^z - h \sum_{i=1}^N S_i^x$$

 $\langle i,j \rangle$ 

:Summation over the nearest neighbor pair

M

## Area law

Even in ferro and disordered phases, the entanglement entropy depends on size N.

$$S_A \sim \sqrt{N} = L$$

## **Two-dimensional array** $L_{y}$ $L_{x}$ $N = L_x \times L_y$ Phase diagram $m_z$ Disorder Ferro $h_c$

h

## MPS for two-dimensional system

When we apply MPS representation for a square lattice system:

Setting (1)  $S_A \leq L_x \log \chi$  :Satisfying area law?

Setting (2)  $S_{A'} \leq \log \chi$ 



MPS cannot cover the area law of the entanglement entropy in higher (d =2,3, ...) dimensions.

:Break down of the area law!



## MPS for two-dimensional system: comment

MPS can treat "rectangular" or "quasi one dimensional" lattice.

In setting (1), MPS can satisfy the area low partially. We can increase  $L_x$  easily with keeping  $L_y$  constant.  $\chi = O(e^{L_y})$  $L_y \lesssim 10, L_x \gg L_y$ 

Quasi one dimensional system ("strip" or "cylinder")



(1)  $S_A \leq L_x \log \chi$ 



Tensor network for critical systems: Multi-scale Entanglement Renormalization Ansatz

## Hierarchical structure: tree tensor network

Critical system



Scale invariance

A simple scale invariant tensor network: tree tensor network



## Unitary tensors

### Unitary tensor

$$U_{ij}^{kl} = \underbrace{\begin{matrix} k & l \\ U \\ i & j \end{matrix}$$
$$I_{I}^{\dagger})^{ij} = (I_{I}^{kl})^{*}$$

$$(U^{\dagger})_{kl}^{ij} = (U_{ij}^{kl})^{*} \sum_{k,l} (U^{\dagger})_{kl}^{ij} U_{i'j'}^{kl} = \delta_{ii'} \delta_{jj'} \qquad \downarrow_{i'}^{i} = \begin{vmatrix} i & j \\ U^{\dagger} \\ \downarrow_{i'} \\ j' \end{vmatrix} = \begin{vmatrix} i & j \\ U^{\dagger} \\ i' & j' \end{vmatrix}$$

 $\sum_{i,j} U_{ij}^{kl} (U^{\dagger})_{k'l'}^{ij} = \delta_{kk'} \delta_{ll'}$ 

$$\begin{vmatrix} k & l \\ U \\ U \\ \downarrow U^{\dagger} \\ k' & l' \end{vmatrix} = \begin{vmatrix} k & l \\ k & l' \\ k' & l' \end{vmatrix}$$

## Isometric tensors

#### Isometric tensor (half unitary tensor) = Isometry



Isometry works as a "projector" from the bottom space to the top space.

```
\dim(bottom) \ge \dim(top)
```

It is also related to the "renormalization" of degree of freedoms.

We pick up "important" degree of freedoms by isometries.

## Isometric tree tensor network and its scale invariance



## Entanglement entropy of TTN

Entanglement entropy of tree tensor networks (TTN):

Due to the tree structure, two regions are connected by only "one bond".

(or a few)

$$S_A = -\mathrm{Tr} \ \rho_A \log \rho_A \le \log \chi$$





(G. Vidal, Phys. Rev. Lett. **99**, 220405 (2007)) (G. Vidal, Phys. Rev. Lett. **101**, 110501 (2008))

### Multi-scale Entanglement Renormalization Ansatz (MERA)



Normalization
Scale invariance (if we set the identical tensors)

## Entanglement entropy of MERA

Due to the unitary matrices, # of bonds connecting two regions logarithmically increase.

## Application of MERA

Transverse field Ising chain:

$$\mathcal{H} = -\sum_{i=1}^{N-1} S_i^z S_{i+1}^z - h \sum_{i=1}^N S_i^x$$

### **Energy errors:**

MERA (Infinite chain) (G. Evenbly and G. Vidal, Phys. Rev. B. 79, 144108 (2009)) 10 D Ising 10-5  $\chi = 4$ Energy Error, 8E 10-6  $\chi = 6$ 10-7  $\chi = 8$ = 12 10-8 • χ = 16 10-9 χ = 22 0.75 1.25 0.5 1.5 2h

MERA can represent very large (Infinite) critical system!

#### DMRG (finite chain) Ö. Legeze, and G. Fáth (1996) 10<sup>-2</sup> 10<sup>-2</sup> (a) 10-4 $10^{-4}$ JUD0000000 10<sup>-6</sup> $10^{-6}$ $\delta E_{GS}$ $\delta E_{1XS}$ 10<sup>-8</sup> 1.0<sup>-8</sup> 10<sup>-10</sup> 10<sup>-10</sup> $\Diamond M=4$ OM=810<sup>-12</sup> + M = 16 $-10^{-12}$ • M=32 $\triangle$ M=48 $10^{-14}$ $10^{-14}$ 10 40 100 300 10 40 100 300 Ν Ν $h = h_c$

## Interesting topics related to MERA

• By using scale invariance of MERA, we can calculate properties of critical system accurately. (G. Evenbly and G. Vidal, Phys. Rev. B. **79**, 144108 (2009))

(R.N.C. Pfeifer, (G. Evenbly and G. Vidal, Phys. Rev. A. 79, 040301(R) (2009))

- Critical exponents and Operator product expansion coefficients in the Conformal Filed Theory (CFT)
- We can consider MERA in higher dimensions
  - It is scale invariant but satisfies the area low

(G. Evenbly and G. Vidal, Phys. Rev. Lett. **102**, 180406 (2009))

 For the system with logarithmic correction in the EE, such as metal, "branching MERA" has been proposed.

(G. Evenbly and G. Vidal, Phys. Rev. Lett. **112**, 220502 (2014))

(G. Evenbly and G. Vidal, Phys. Rev. B. 89, 235113 (2014))

- Relation between MERA and other fields
  - Wavelet transform (G. Evenbly and S. R. White, Phys. Rev. Lett. **112**, 140403 (2016))
  - AdS/CFT (quantum gravity, black hole)

(M. Nozaki, S. Ryu, and T. Takayanagi, J. High Energy Phys. 10, 193 (2012))

Tensor network for higher dimensional systems: Tensor Product State (Projected Entangled Pair State)

## Entanglement entropy in higher dimensions

Ground state wave functions:

For a lot of ground states, EE is proportional to its area.

J. Eisert, M. Cramer, and M. B. Plenio, Rev. Mod. Phys, 277, 82 (2010)

Area low:

$$S = -\mathrm{Tr}\left(\rho_A \log \rho_A\right) \propto L^{d-1}$$



In d=1, MPS satisfies the area low.

Q. What is a simple generalization of MPS to d > 1?A. It is Tensor Product State (TPS)!

## Tensor Product State (TPS)





## Entanglement entropy of TPS (PEPS)



TPS can satisfies the area law even for d > 1.



We can efficiently approximate vectors in higher dimensional space by TPS.

\* Similar to the MPS in 1d, TPS can approximate infinite system!

## Example: Ground state represented by TPS

Toric code model

(A. Kitaev, Ann. Phys. **303**, 2 (2003).

$$\mathcal{H} = -\sum_{s} A_{s} - \sum_{p} B_{p}$$
$$A_{s} = \prod_{i \in \text{star}(s)} \sigma_{j}^{x} \qquad B_{p} = \prod_{j \in \partial p} \sigma_{j}^{x}$$

 $j \in \operatorname{star}(s)$ 



Its ground state is so called  $Z_2$  spin liquid state.

"Spin liquid" is a novel phase different from conventional magnetic orders.

It can be represented by D=2 TPS.

(F. Verstraete, et al, Phys. Rev. Lett. 96, 220601 (2006).



## Difference between MPS and TPS

Cost of tensor network contraction:

d-dimensional cubic lattice  $N = L^d$ 

MPS: 
$$O(N)$$
  
TPS:  $O(e^{L^{d-1}})$ 

It is **impossible** to perform exact contraction even if we know local tensors in the case of TPS.

In the case of TPS, usually we approximately calculate the contraction.



## Example of approximate contraction: CTM method



## Cost of (approximate) contraction



## Application of TPS to eigenvalue problem

For calculation of minimum eigenvalues and its eigenvector, we can use similar techniques to those in MPS

Variational method:

(P. Corboz, Phys. Rev. B 94, 035133 (2016))(L. Vanderstraeten, Phys. Rev. B 94, 155123 (2016))

minimize cost function: 
$$F = \frac{\psi^{\dagger}(f)}{f}$$

Simulate imaginary time evolution: (虚時間発展)

$$|\Psi_{\rm GS}\rangle \propto \lim_{\beta \to \infty} e^{-\beta \mathcal{H}} |\Psi_0\rangle$$

For a initial state  $\langle \Psi_{\rm GS} | \Psi_0 \rangle \neq 0$ 

(H. G. Jiang *et al*, Phys. Rev. Lett. **101**, 090603 (2008)) (J. Jordan *et al*, Phys. Rev. Lett. **101**, 250602 (2008))

# Example of application: Honeycomb lattice Kitaev Model

A. Kitaev, Annals of Physics 321, 2 (2006)

#### Kitaev model

$$\mathcal{H} = -\sum_{\gamma,\langle i,j\rangle_{\gamma}} J_{\gamma} S_i^{\gamma} S_j^{\gamma}$$

 $\gamma$  :bond direction

Depending on the bond direction, only specific spin components interact.

Exactly solvable by introducing Majorana fermion Isotropic region (B) : gapless spin liquid Anisotropic region (A) : gapped spin liquid

Cf. The anisotropic limit corresponds to the Toric code.

\*Recently, researchers have realized that this type of models might appear in real materials. Hot topic!



Phase diagram





## Application : Kitaev spin liquid

### Honeycomb lattice Kitaev model

At  $J_x = J_y = J_z$ , the ground state is a gapless spin liquid.

In the present (super)computers, we can access around D=10 (maybe 16) by using massively parallel code.

> The error of the ground state energy is **less than 10**-3 for infinite system!

 $\mathcal{H} = -\sum_{\substack{\gamma, \langle i, j \rangle_{\gamma} \\ (\gamma = x, y, z)}} J_{\gamma} S_{i}^{\gamma} S_{j}^{\gamma}$ 

#### Energy error obtained by iTPS

(T. okubo et al, unpublished)





iTPS can represent Kitaev spin liquid in the thermodynamic limit accurately.

## Interesting topics related to TPS

• Application to itinerant electron system, which may break the area law

(P. Corboz et al, Phys. Rev. B. 81, 165104 (2010))

(P. Corboz, Phys. Rev. B. 93, 045116 (2016))

- Characterization of topologies in wave function
  - Symmetric tensor network and modular matrix (J.-W. Mei et al, Phys. Rev. B. 95, 235107 (2017))
- Application to three dimensions
  - So far, there is no practical calculations for non-trivial models.
  - Mainly, due to the scaling:  $O(D^{18})$ ?

1日目	1.現代物理学における巨大なデータと情報圧縮
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	3.線形代数の復習
Î	4.特異値分解と低ランク近似
2日目	5.テンソルネットワーク繰り込みによる情報圧縮
	6.情報のエンタングルメントと行列積表現
3日目	7.行列積表現の固有値問題への応用
	8.テンソルネットワーク表現への発展
Optional	9.フラストレート磁性体への応用

## フラストレート磁性体への応用 (optional: 時間があれば。)

## フラストレートレーション

<sup>●</sup>複数の最適化条件を同時に満たせない状態
<sup>●</sup>あちらを立てればこちらが立たず

磁性体におけるフラストレーション





フラストレートスピン系

・古典スピン模型:

- 大規模な基底状態の縮重
- ・ 弱い摂動による新規秩序
- ・ 傾いた磁気秩序

・量子スピン模型:

- ・ スピン液体
- ・ 隠れた秩序、トポロジー

## フラストレート量子スピン系の数値計算

☆フラストレート量子スピン系における数値計算の課題
熱力学極限に迫れる手法が少ない

・ 量子モンテカルロ法: <u>負符号問題</u>のため、精度が出ない

テンソルネットワーク法

- ・ 厳密対角化法 : 厳密だが、<mark>少数クラスター</mark>しか取り扱えない
- ・ 変分モンテカルロ法: 大きな系を扱えるが、バイアスが存在

変分波動関数として、(基底状態の)波動関数を"効率的"に表現した テンソルネットワーク状態を用いる


#### 変分波動関数としてのTPS

- Area lawを満たせるので、ボンド次元Dを十分に大きい有限の値
   にとれば、多くの基底状態を表現できる
  - ・ 並進対称性を仮定すれば、無限系でさえ有限のDで計算可能 並進対称性の例:
- テンソルの最適化、期待値の計算の手法がかなり発達してきた
  - ・ スピン液体相等の非自明な基底状態でもOK e.g. ハニカム格子上のKitaev スピン液体

J. O. Iregui, P. Corboz, and M. Troyer, et al., PRB 90, 195102 (2014).

#### Application of TPS to eigenvalue problem

For calculation of minimum eigenvalues and its eigenvector, we can use similar techniques to those in MPS

Variational method:

(P. Corboz, Phys. Rev. B 94, 035133 (2016))(L. Vanderstraeten, Phys. Rev. B 94, 155123 (2016))

minimize cost function: 
$$F = \frac{\psi^{\dagger}(f)}{f}$$

Simulate imaginary time evolution: (虚時間発展)

$$|\Psi_{\rm GS}\rangle \propto \lim_{\beta \to \infty} e^{-\beta \mathcal{H}} |\Psi_0\rangle$$

For a initial state  $\langle \Psi_{\rm GS} | \Psi_0 \rangle \neq 0$ 

(H. G. Jiang *et al*, Phys. Rev. Lett. **101**, 090603 (2008)) (J. Jordan *et al*, Phys. Rev. Lett. **101**, 250602 (2008))

### Application1: Honeycomb lattice Kitaev Model

A. Kitaev, Annals of Physics 321, 2 (2006)

#### Kitaev model

$$\mathcal{H} = -\sum_{\gamma, \langle i, j \rangle_{\gamma}} J_{\gamma} S_i^{\gamma} S_j^{\gamma}$$

 $\gamma$  :bond direction

Depending on the bond direction, only specific spin components interact.

Phase diagram



Exactly solvable by introducing Majorana fermion Isotropic region (B) : gapless spin liquid Anisotropic region (A) : gapped spin liquid

Cf. The anisotropic limit corresponds to the Toric code.

\*Recently, researchers have realized that this type of models might appear in real materials. Hot topic!



# Application1 : Kitaev spin liquid

#### Honeycomb lattice Kitaev model

At  $J_x = J_y = J_z$ , the ground state is a gapless spin liquid.

In the present (super)computers, we can access around D=10 (maybe 16) by using massively parallel code.

> The error of the ground state energy is **less than 10**-3 for infinite system!

 $\begin{aligned} \mathcal{H} &= -\sum_{\gamma, \langle i, j \rangle_{\gamma}} J_{\gamma} S_{i}^{\gamma} S_{j}^{\gamma} \\ & (\gamma = x, y, z) \end{aligned}$ 

#### Energy error obtained by iTPS

(T. okubo et al, unpublished)





iTPS can represent Kitaev spin liquid in the thermodynamic limit accurately.





#### ab initio Hamiltonian of Na<sub>2</sub>IrO<sub>3</sub>

(Y. Yamaji et al. Phys. Rev. Lett. 113, 107201(2014))

 $J_2$ 

z-bond

 $\mathbf{J}_3$ 

(1, 1, 1)

Ζ

$$\begin{array}{l} ab \ initio \ {\sf Hamiltonian} & {\sf x-bond} \\ \hat{H} = \sum_{\Gamma = X,Y,Z} \sum_{\langle \ell,m \rangle \in \Gamma} \vec{\hat{S}}_{\ell}^T \mathcal{J}_{\Gamma} \vec{\hat{S}}_m, & {\sf y-bond} \\ \mathcal{J}_Z = \begin{bmatrix} J \ I_1 \ I_2 \ I_2 \ I_2 \ I_2 \ I_2 \ I_2 \ I_1 \ J'' \ I'_1 \ I'_2 \ I'_1 \ I'_2 \ I'_1 \ I'_2 \ I'_1 \ I'_2 \ J' \ I'_1 \ I'_2 \ J' \ I'_1 \ I'_2 \ J' \ I'_2 \ I'_1 \ I'_2 \ J' \ I'_2 \$$

Due to the trigonal distortion, the *ab initio* Hamiltonian contains strong off-diagonal couplings, together with J<sub>2</sub> and J<sub>3</sub> interaction

#### Results: comparison with other methods

T. Okubo et al, PRB 96, 054434 (2017).



## Phase diagram varying the trigonal distortion

T. Okubo et al, PRB 96, 054434 (2017).



iPEPS: 4x4, 2x6, 6x8, 8x12, 6x10 unit cells

- Energies obtained by iPEPS and DMRG are consistent
- New phases are stabilized compared with the previous ED reports

P. Corboz and F. Mila, PRL 87, 115144 (2013)



応用例2: Shastry-Sutherland 格子模型

P. Corboz and F. Mila, PRB 87, 115144 (2013)



# 応用例2: Shastry-Sutherland 格子模型の磁化過程

#### 実際の物質に実現例が存在!

Shastri-Sutherland 格子





SrCu(BO<sub>3</sub>)<sub>2</sub>



#### SrCu(BO<sub>3</sub>)<sub>2</sub>

- ・基底状態はDimer 状態
- ・磁化過程に複数の磁化プラトー

 $M/M_{Sat} = 1/8, 2/15, 1/6, 1/4, 1/3, 1/2$ 



# 応用例2: Shastry-Sutherland 格子模型の磁化過程



# 応用例3:カゴメ格子ハイゼンベルグ模型

T. Okubo and N. Kawashima, in preparation

ハミルトニアン
$$\mathcal{H} = J \sum_{\langle i,j \rangle} \vec{S}_i \cdot \vec{S}_j - h \sum_i S_{i,z}$$

ゼロ磁場での基底状態



各三角形で"120 度構造"を満たす任意の状態 古典模型: 立体構造を含んで大規模に縮退







Taken from http://koharu2009.blogspot.jp/





S=1/2量子スピン系

- スピン液体の候補
  - Z<sub>2</sub> spin liquid
- U(1) Dirac spin liquid

\*最近のiTPSによる計算:

H. J. Liao, et al, arXiv:1610.04727

S. Jiang, et al, arXiv:1610.02024

U(1) Dirac spin liquidを示唆



# 手法:PEPS テンソルネットワーク法



二段階の計算:

- 1. テンソルの最適化: 虚時間発展  $\lim_{\tau \to \infty} e^{-\tau \mathcal{H}} |\Psi_0\rangle = |\Psi_{G.S}\rangle$  (+ Suzuki-Trotter 分解) 打ち切り法: Simple update
- 2. 物理量の計算:

近似的な縮約: Corner Transfer Matrix 法

#### 結果:磁化過程



# 結果:1/3プラトー状

テンソル最適化

#### 従来:

- ・2サイト (T. Okubo et al)
- ・ 3サイト(T. Picot et al)

#### の虚時間発展演算子

▶ 六角形ループの相関を <sup>14</sup> 十分に取り込めない?



#### q=0 1/3プラトーは DMRGと同様のResonated状態 -0.521 -0.522 Hz = 1.1 $\sqrt{3} \times \sqrt{3}$ -0.523 -0.524 -0.525 <sup>[1]</sup> -0.526 -0.527 -0.528 q = $\sqrt{3} \times \sqrt{3}$ -0.529 Resonated Resonated -0.53 6

6サイトの最適化を行うことで、DMRGと同様 の Resonated 状態が準安定状態として出現 Resoneted 状態のエネルギーはDの増大で、 up-up-down状態よりも低くなる



#### Comparison with experiments

R. Okuma, D. Nakamura, T.Okubo et al, Nat. Commun. 10, 1229 (2019).

Real compounds often contain Dzyaloshinskii-Moriya Interaction:



最後に

- テンソルネットワーク表現を用いると、効率的に情報を圧縮できる場合がある。
  - 分配関数のテンソルネットワーク表示は、テンソルネットワーク繰り込みを用いた実空間
     繰り込み群により精度よく計算できる。
    - ・基本となる情報圧縮手段は、特異値分解(SVD)による低ランク近似
  - 適切なテンソルネットワークにより(基底状態の)波動関数を非常に効率的に表現できる
  - ・ 適切なネットワークを探すには、エンタングルメントエントロピーの面積則が大事
    - ・ 1次元量子系では、行列積状態(MPS)が非常に成功している
    - ・ 2次元以上の量子系では、MPSは大きな系を効率的に近似できないが、テンソル積状 態(TPS)ではうまくいく
- ・テンソルネットワーク表現のアイデアは、物理に限らず、様々な分野へ応用可能
  - MPS、MERAなどの(量子)機械学習への適用
  - ・ 量子回路デザインへの応用

# 今回紹介しなかったけど重要な話題

- 基底状態に限らず、有限温度の計算手法の開発も進んできている
  - 密度行列のテンソルネットワーク表現 •

P. Czarnik et al., Phys. Rev. B 99, 035115 (2019). A. Kshetrimayum et al, Phys. Rev. Lett. 122 070502 (2019).

- Fermion系にも適用可能で、Hubbard模型の計算では最先端に匹敵 (P. Corboz et al, Phys. Rev. B. 81, 165104 (2010)) する精度を出しつつある (P. Corboz, Phys. Rev. B. 93, 045116 (2016))
  - (注) 金属状態ではエンタングルメントエントロピーの面積則 が破れる
- 対称性を持つテンソルを用いた、波動関数トポロジーの特徴付け

•

(S. Jiang and Y. Ran, Phys. Rev. B. 92, 104414 (2015))

(J.-W. Mei et al, Phys. Rev. B. 95, 235107 (2017))

#### Report problem

# 以下の二点を含めて、A4で1枚くらい(多くても可) のレポートを提出してください

提出先:大久保へメールで提出(11/21〆切)

#### t-okubo@phys.s.u-tokyo.ac.jp

(pdf、wordなど。手書きの場合は写真でも可)

- ・ 講義の感想と講義を通して理解できたことの概要(まとめ)
- 自身の研究分野(もしくは興味のある分野)でテンソルネットワーク(または情報圧縮)が役に立ちそうかどうかの検討



# 乱拓アルゴリズム

## 乱拓アルゴリズム: (擬似) 乱数を実行中に参照し その値によって振る舞いを変える





#### このやり方(棄却法)は高次元積分に無力

n次元立方体(1辺の長さ2)に対する、d次元球の体積の割合

$$r = \frac{\pi^{d/2} / \Gamma(\frac{d}{2} + 1)}{2^d} \sim \left(\frac{e\pi}{2d}\right)^{d/2}$$
Г関数の漸近形
$$\Gamma(x) \sim \left(\frac{x}{e}\right)^x$$

dが大きくなると指数的に球の体積の割合が減る



(誤差を一定に保つには、サンプリング数Nを 指数的に大きくする必要がある)

#### Importance Sampling

積分に寄与する部分を重点的にサンプリングする
 スピン模型の例:

ボルツマン重み  $e^{\beta J \sum_{\langle i,j \rangle} S_i S_j}$ が大きいところを重点的にサンプリングする  $\sum_{\{S_i=\pm 1\}} \simeq$ ランダムに発生した 部分空間での和

規格化定数(分配関数)が未知なので、 「マルコフ連鎖モンテカルロ法」で 重点的サンプリングを実現する。

#### マルコフ連鎖モンテカルロ法

ボルツマン分布を<mark>確率過程</mark>の定常分布として生成したい。

状態Γを"ランダムに" Γ'に変えていく  $\Gamma = \{S_1, S_2, \dots, S_N\} \quad \square \quad \Gamma' = \{S'_1, S'_2, \dots, S'_N\}$  $W_{\Gamma \to \Gamma'}$ :状態 $\Gamma$ が $\Gamma$ ,に変わる確率 このとき、時刻tの確率分布  $\rho_t(\Gamma)$  は、マスター方程式  $\rho_{t+1}(\Gamma) = \rho_t(\Gamma) + \sum_{\Gamma'} W_{\Gamma' \to \Gamma} \rho_t(\Gamma') - \sum_{\Gamma'} W_{\Gamma \to \Gamma'} \rho_t(\Gamma)$ \*マルコフ=右辺がひとつ前の時刻t を満たす(確率の保存則)。 の情報だけ この確率過程が時間無限大で、ある確率分布  $P(\Gamma)$  に収束

 $\lim_{t \to \infty} \rho_t(\Gamma) = P(\Gamma) \qquad \qquad P(\Gamma) : 今の場合、ボルツマン分布$ 

この確率過程で現れる点の集合はボルツマン分布になっている

#### マルコフ連鎖モンテカルロ法の収束条件

 $P(\Gamma)$ に収束するための十分条件

#### 1. "**エルゴード性**"

- ・ 任意の二つの状態 Γ とΓ' が有限の回数でつながる。
  - Wを行列としてみると、この条件は

 $\exists T > 0, \forall (\Gamma, \Gamma'), \quad [(W)^t]_{\Gamma, \Gamma'} > 0, (\forall t \ge T)$ 

- 2. "釣り合い条件"
  - ・ 確率の流れが、 $P(\Gamma)$ に対して釣り合う

$${}^{\forall}(\Gamma,\Gamma'), \quad \sum_{\Gamma'} W_{\Gamma\to\Gamma'} P(\Gamma) = \sum_{\Gamma'} W_{\Gamma'\to\Gamma} P(\Gamma')$$

特別な場合:詳細釣り合い条件

 $W_{\Gamma \to \Gamma'} P(\Gamma) = W_{\Gamma' \to \Gamma} P(\Gamma')$ 

### イジング模型への適用:メトロポリス法

#### Local update: スピンの一部(典型的には1つのスピン) の状態を変えるマルコフ連鎖モンテカルロ法

 $\Gamma = (S_1, S_2, \cdots, S_{13}, \cdots, S_{25})$   $\Gamma' = (S_1, S_2, \cdots, S'_{13}, \cdots, S_{25})$   $\Gamma$  から  $\Gamma$  への変更で  $S_1, S_2, \dots S_{12}, S_{14}, S_{15}, \dots, S_{25},$ を固定して、 $S_{13}$ だけを変更する。

このような local update では, 条件を満たす遷移確率 Wを 比較的簡単に見つけられる。



 $\mathcal{H} = -J \sum S_i S_j$ メトロポリス法:イジングスピン  $\langle i,j \rangle$ 

ひとつスピンを選んで(13番)スピンを反転する

$$S_{13} \to S_{13}' = -S_{13}$$

この時、詳細釣り合い条件  $W_{\Gamma \to \Gamma'} P(\Gamma) = W_{\Gamma' \to \Gamma} P(\Gamma')$ を満たすWは  $\frac{W_{\Gamma \to \Gamma'}}{W_{\Gamma' \to \Gamma}} = \frac{P(\Gamma')}{P(\Gamma)} = e^{-\beta [\mathcal{H}(\Gamma') - \mathcal{H}(\Gamma)]} = e^{-\beta \Delta E}$ を満たす。したがって、例えば  $W_{\Gamma \to \Gamma'} = \min(1, e^{-\beta \Delta E})$  $\Delta E = -J(S_{2} + S_{12} + S_{14} + S_{16})(S'_{12} - S_{12})$ 

$$\Delta E = -J(S_8 + S_{12} + S_{14} + S_{18})(S_{13} - S_{13})$$
$$= 2J(S_8 + S_{12} + S_{14} + S_{18})S_{13}$$

23 24 20 17 18 19 16 12 11 13 15 14 9 8 10

とすれば良い。

### メトロポリス法の流れ



# 角転送行列繰り込み群(CTMRG)

- ・ 奥西・西野ら (1995)による逐次的な"繰り込み"によるテンソ ルネットワークの計算方法
  - Corner Transfer Matrix Renormalization Group (CTMRG)
- ・ 分配関数のテンソルネットワーク表現をL→L+2のように数 サイトずつ大きくしていくことで、徐々に計算する
- ・近年、2次元量子多体系の基底状態計算アルゴリズム (PEPS法、TPS法)の一部にも使われる

# CTMRGでやりたいこと





## CTMRGのレシピ



### CTMRGのレシピ



### CTMRGのレシピ

- くりこみ変換まとめ
- 1. LxL の系の角転送行列をSVD

3. (L+2)×(L+2)の角転送行列を作成

